*Supplement to the Republic of Zambia Government*

*Gazette dated Friday, 12th September, 2008* 285

GOVERNMENT OF ZAMBIA STATUTORY INSTRUMENT NO. . 84 OF 2008

**The Mines and Minerals Development Act, 2008**

# (Act No. 7 of 2008)

**The Mines and Mineral Development (General) Regulations, 2008**

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*Copies of this Statutory Instrument can be obtained from Kas Electronic Publishers, Tel: 221424, P.O. Box 31075, 10101 Lusaka.*

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IN EXERCISE of the powers contained in section *one hundred and sixty-one* of the Mines and Mineral Development Act, 2008 the following Regulations are hereby made:

PART I PRELIMINARY

# These Regulations may be cited as the Mines and Minerals Development (General) Regulations, 2008.

* 1. In these Regulations, unless the context otherwise requires—

“abandonment” means the voluntary extinction of a mining right which may be total or partial and which is demanded by the holder by renunciation;

“Act” means the Mines and Mineral Development Act, 2008; “Alternation” in relation to a mining area, means the extension or the reduction of the size of the prospecting or mining

area;

“assignee” means a person who derives a right or interest in a licence or mining right from the holder of the licence or mining right;

“assignor” means a person who grants a right or interest in a licence or mining right to another person;

“artisan’s mining right” means an artisan’s mining right granted under Part V of the Act;

“cadastral co-ordinates” means the geographic sexagesimal co-ordinates used in the delineation of an area subject to a licence or mining right in accordance with these Regulationsd;

“cadastre unit” means a quadrilateral formed by the intersection of meridians and parallels and with a distance equal to six sexagesimal seconds and that covers an average plainimetric surface of three point three four zero zero hectares;

“cadastral map” means a map record of existing non-mining and mining rights;

“closure certificate” means the certificate granted by the Director of Mines Safety at the abandonment of a mining right;

“Director” means the Director of Mines appointed under section *one hundred and forty-four* of the Act;

“Director of Geological Survey” means the person appointed as such under section *one hundred and forty-four* of the Act;

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“Director of Mines Safety” means the person appointed as such under section *one hundred and forty-four* of the Act;

# “General Registry Book” means the book where the applications for abandonment of a mining area, amendments, renewals, and transfers of licences are recorded in the order in which they are received;

“Head of Mining Cadastre” means the person appointed as such under regulation 3;

“holder” means a person in whose name a mining right is registered under the Act;

“large-scale gemstone licence” means a large-scale gemstone licence granted under Part III of the Act;

“large-scale mining licence” means a large-scale mining licence granted under Part III of the Act;

“mineral” means any material substance, whether in solid, liquid or gaseous form, that occurs naturally in or beneath petroleum or any substance or thing prescribed by the Minister by regulations;

“mineral processing” means the practice of beneficiating or liberating valuable minerals from their ores which may combine a number of unit operations such as crushing , grinding, sizing, screening, classification, washing, froth floatation, gravity concentration, electrostatic separation, magnetic separation, leaching, smelting, refining, calcining and gasification or any other processes incidental thereto; “mining” means the extraction of material, whether solid, liquid or gaseous, from land or from beneath the surface of the earth in order to win minerals, or any operations directly or

indirectly necessary or incidental thereto;

“mining area” means an area of land subject to a licence or permit under the Act;

“Mining Cadastre Office” means the central administrative office established in Lusaka which is responsible for the processing and administration of mining rights;

“mining right” means a right granted under subsection (1) of section *six* of the Act;

“pegging certificate” means a certificate issued by a surveyor after demarcation of a licence or mining right in accordance with regulation 43;

“perimeter” means the external contour of the ground made up of contiguous cadastral units, which is the subject of

licence or mining or an application for a licence or mining right;

“Priority Registry Book” means the book where applications for mining rights and mineral processing licences are recorded in the order in which they are received;

“prospecting area” means an area of land subject to a prospecting licences or a prospecting permit;

“prospecting operations” means operations carried out in the course of prospecting;

“prospecting permit” means a prospecting permit granted under Part IV of the Act;

“regional mining cadastre office” means a regional office of the Mining Cadastre referred to under regulation 4;

“ small scale gemstone licence” means a small scale gemstone licence granted under Part IV of the Act, and

“small scale mining licence” means a small scale mining licence granted under Part IV of the Act.

PART II

MINING CADASTRE OFFICES

# (1) There shall be a Head of Mining Cadastre Office who shall be a public officer and who shall have and may exercise the powers and functions conferred upon the Head of Mining Cadastre Office by or under the Act or any other written law.

(2) The Public Service Commission shall appoint such other public officers as may be necessary for the administration of these Regulations.

* 1. The Central Mining Cadastre Office shall be based in Lusaka and the regional mining cadastre offices shall be established in the areas set out in the First Schedule.
  2. (1) The Central Mining Cadastre Office shall administer mining rights and mineral processing licences.

1. Notwithstanding the generality of sub-regulation (1), the Central Mining Cadastre office shall be responsible for the coordination of all cadastral activities including—
   1. the assignment of identification codes for applications for mining rights and mineral processing licences;

Head of Mining Cadastre Office and other staff

Central and regional mining cadastre offices

Functions of Central Mining Cadastre Office

Functions of regional mining cadastre offices

Jusrisdiction of regional mining cadastre offices

Cadastre unit

# the receipt and recording of applications for mining rights and mineral processing licences;

* 1. the verification and processing of applications for mining rights or mineral processing licences;
  2. making recommendations to the Director, the Director of Geological Survey and Director of Mines Safety in respect of application for mining rights and mineral processing licences; and
  3. receiving and distributing statutory report from holders.

1. The Mining Cadastre Office shall maintain a Priority Registry Book and a General Registry Book for purposes for these Regulations.
2. The Mining Cadastre Office shall, where an application meets the requirements of the Act and these Regulations, send its recommendations on the application to the Director or Director of Geological Survey, as the case may be.
3. The Director or Director of Geological Survey, as the case may be shall seek the advice of the Mining Advisory Committee prior to the grant of a mining right or mineral processing.
   1. A regional mining cadastre office shall be accountable to the Central Mining Cadastre Office and shall—
4. receive and record applications for mining rights and mineral processing licences within its area;
5. transfer applications for mining rights and mineral processing licences to the Central Mining Cadastre Office; and
6. make recommendation to the Central Mining Cadastre Office in relation to applications for mining rights and mineral processing licences.
   1. (1) Subject to regulation 5, where an area covered by an application extends over more than one region, the regional mining cadastre office in which most of the area that is a subject matter of the application falls, shall be responsible for the receipt and registration of the application.

(2) Sub-regulation (1) shall not be construed as prohibiting the Central Mining Cadastre Office from receiving and recording any applications.

* 1. (1) For the purposes of the Act and these Regulations, the surface area of Zambia is divided into cadastre units in squares of six seconds by six seconds in conformity with the grid defined by

geographic sexagesimal co-ordinates in degrees, minutes and seconds represented at the edge of cadastral maps.

(2) The cadastral maps shall be based on the official topographic map of the Republic of Zambia at the scale of 1:50, 000 or 1:250,000 and based on the ellipsoid of Clarke 1880.

PART III

APPLICATION FOR A MINING RIGHT AND MINERAL PROCESSING LICENCE

# (1) A person shall apply to the Director or Director of Geological Survey, as the case may be, for the following mining rights in Form I set out in the Second Schedule.

* + 1. a prospecting licence;
    2. a large-scale mining licence;
    3. a large-scale gemstone licence;
    4. a prospecting permit;
    5. a small-scale mining licence;
    6. a small-scale gemstone licence;
    7. an artisan’s mining right.

(2) A person shall apply to the Director for a large-scale mining licence in respect of radioactive minerals in Form II set out in the Second Schedule.

* 1. (1) A person shall apply to the Director of Geological Survey for a mineral processing licence in Form III set out in the Second Schedule.

(2) A person shall apply to the Director for a gemstone sales certificates in Form IV set out in the second schedule.

* 1. A person shall apply to the Director of Mines Safety for an annual operating permit in Form V set out in the Second Schedule.

Application to export, import, etc., mineral, ore or mineral product.

* 1. A person intending to import or export any mineral, ore or mineral product shall apply for a permit to the Director in Form VI set out in the Second Schedule.
  2. An application for consent to acquire, store, transport, sell or export radioactive minerals shall be made to the Minister in Form VII set out in the Second Schedule.

Application for mining right

Application for non- mining right

Application for annual operating permit

Application to export, import, etc, mineral, ore ormineral product

Application to export, sell, etc, radioactive minerals

# A person shall apply to the Director of Geological Survey for a mineral analysis certificate in Form VIII set out in the Second Schedule.

* 1. A person shall apply to the Director of Geological Survey for a valuation certificate in Form IX set out in the Second Schedule.
  2. (1) A person shall apply to the Director of Geological Survey for a permit to operate a mineral analysis laboratory in Form X set out in the Second Schedule.

(2) A person shall apply to the Director of Geological Survey or the Director of Mines Safety as the case may be, for a permit to operate an annual geological or mining consultancy firm in Form XI set out in the Second Schedule.

* 1. An agent who makes an application on behalf of another person shall submit, with the application, Form XII set out in the Second Schedule.
  2. The Mining Cadastre Office shall, upon receipt of an application under these regulations, assign an identification code to the application dossier.
  3. (1) The Mining Cadastre Office shall provisionally plot an area that is the subject of an application and shall produce a provisional polygon for the area.

1. A provisional polygon shall be valid from the date of an application to the date of the grant or rejection of the application.
2. A subsequent application that relates to a part or whole of the perimeter of an area already applied for shall be considered as partially or wholly overlapping with an area which is the subject matter or an application with a right of priority under these Regulations.
   1. The Mining Cadastre Office shall, where it establishes that
      1. the coordinate of the area applied for do not form a polygon;
      2. the required documentation is incomplete, or some of the documents that are submitted are invalid; and
      3. the geometry of the area applied for is contrary to the geometry rules prescribed under regulation 40;

request the applicant to furnish further information in Form XIII set out in the Second Schedule.

* 1. The Minister, Director or Director of Geological Survey, as the case may be, shall, where the Minister, Director or Director of Geological Survey approves an application for a mining right or mineral processing licence, inform the applicant of the approval in Form XIV set out in the Second Schedule.
  2. The Minister, Director or Director of Geological Survey, as the case may be, shall, where the Minister, Director or Director of Geological Survey rejects an application for a mining right or mineral processing licence, inform the applicant of the rejection in Form XV set out in the Second Schedule.
  3. (1) A grant of a mining right or mineral processing licence shall be in the appropriate form from Form XVI to Form XXIII set out in the Third Schedule.

1. A grant of a certificate or permit shall be in the appropriate form from Form XXIV to XXXII set out in the Third Schedule.
2. The terms and conditions of the licences, certificates and permits, as the case may be, are set out in the Fourth Schedule.
   1. An application for amendment for a mining right or a mineral processing licence shall be made in Form XXXIII set out in the Fifth Schedule.
   2. A holder shall inform the Mining Cadastre Office of any change in the particulars of the holder in Form XXXIV set out in the Fifth Schedule.

PART IV

RENEWAL OF MINING RIGHT AND MINERAL PROCESSING LICENCE

# (1) An application for renewal of a mining right shall be in Form XXXV set out in the Fifth Schedule.

1. An application for renewal of a mining right in respect of radioactive minerals shall be in Form XXXVI set out in the Fifth Schedule.
2. An application for renewal of a mineral processing licence shall be in Form XXXVII set out in the Fifth Schedule.
   1. (1) The Mining Cadastre Office shall, provisionally plot onto the cadastral maps the perimeter that relates to an application and shall, where applicable, take into consideration the proposed area to be relinquished.

Notice of rejection of application by Minister, Director or Director of Geological Survey

Grant of mining right

Amendment of licence

Change in particulars of holder

Application for renewal of mining or non-mining right

Provisional plotting for renewal

Modification of perimeter

Rejection of application for renewal

Grant of renewal

# (2) An application under this part in respect of an area that has been relinquished, where applicable, whether in part or in total shall, until the decision to renew or abandon is granted, be considered as either partially or fully overlapped with the area applied for.

* 1. (1) The Director or Director of Geological Survey shall reject an application to modify the current perimeter that covers a new adjacent area where—
     1. a part of the proposed area overlaps an area that is already subject to another mining right or licence or is under application;
     2. a minimum of twenty-five per centum of the original prospecting area is not part of the modified area, and
     3. the area falls below the minimum prescribed for safe mining operations.

1. The Director or Director of Geological Survey, as the case may be, shall where the Director or Director or Geological Survey rejects an application under sub-regulations (1), inform the applicant of the rejection in Form XV set out in the Second Schedule.
2. A new area that is annexed to a licence shall conform with the maximum duration and the prescribed fees applicable to the original licence or mining right.
   1. The Director or Director of Geological Survey, as the case may be, shall, where the Director or Director of Geological Survey rejects an application for renewal of a mining or non-mining right, inform the applicant of the rejection in Form XV set out in the Second Schedule.
   2. (1) The Director or Director of Geological Survey, as the case may be , shall seek the advice of the Mining Advisory Committee prior to the renewal of a mining right or non-mining right.
3. The Director or Director of Geological Survey, as the case may be, shall, where the Director or Director of Geological Survey rejects an application for renewal of a mining right or mineral processing licence, endorse the rejection on the licence, certificate or permit issued in respect of the mining right or mineral processing licence.

PART V

ALTERATION OF PROSPECTING OR MINING AREA

# (1) A holder intending to alter the holder’s mining area shall apply to the Director or Director of Geological Survey, as the case may be, in Form XXXVIII set out in the Fifth Schedule.

* 1. Subject to this regulation, any new mining area shall conform to the prescribed cadastral unit and the geometrical rules specified under regulation 40.
  2. The maximum surface area for which a licence or mining right may be granted shall not exceed the area prescribed in the Sixth Schedule.
  3. The Mining Cadastre Office shall, pending the approval of an application for alteration of a prospecting or mining area, provisionally plot the new perimeter on the cadastral map and record the new perimeters.
  4. (1) The Mining Cadastre Office shall, where an application for alteration of a mining area meets the requirements of the Act and these Regulations, send its recommendation on the application to the Director or the Director of Geological Survey, as the case may be .

(2) The Director or Director of Geological Survey, as the case may be, shall, where the Director or Director of Geological Survey grants an application for alteration of a prospecting or mining area endorse the grant on the licence, certificate or permit issued in respect of the mining right or non-mining right.

PART VI

TRANSFER ASSIGNMENT OF MINING RIGHT OR MINERAL PROCESSING LICENCE

# (1) An application for consent to transfer or to assign a mining right or mineral processing licence shall be in Form XXXIX set out in the Fifth Schedule.

1. An application for consent to transfer or assign a mineral processing licence or mining right shall—
   1. be made by an assignor at any time during the validity of a mining right but not less than one hundred and twenty days before the date of expiry of the mining right; and
   2. be accompanied by an application for a mining right or mineral processing licence, as the case may be, made by the prospective assignee.

Application for alteration of mining area

Provisional plotting for alteration

Grant of alteration

Application for consent to transfer or to assign

# (1) The Minister, Director or Director of Geological Survey, as the case may be, shall seek the advice of the Mining Advisory Committee prior to granting the consent to assign or transfer a mining right or mineral processing licence.

(2) The Minister, Director or Director of Geological Survey, as the case may be, shall, where an application meets the requirements of the Act and these Regulations grant consent to assign or transfer a mineral processing licence or mining right in Form XIV set out in the Second Schedule.

PART VII ABANDONMENT OF MINING AREA

# An application for the abandonment of all or any part of any land subject to a licence or permit shall be in Form XL set out in the Fifth Schedule.

* 1. The Director or Director of Geological Survey, as the case may be, shall grant the certificate of abandonment in Form XLI set out in the Fifth Schedule.

PART VIII GENERAL PROVISIONS

# A notice of appeal shall be in Form XLII set out in the Fifth Schedule.

* 1. (1) The fees set out in the Sixth Schedule are applicable for the matters stipulated therein.

(2) The maximum sizes of a mining area are as set out in the Sixth Schedule.

* 1. (1) An applicant for a mining right or mineral processing licences shall provide to the Mining Cadastre Office the geological co-ordinates of all the vertices of the polygon applied for, adjusted to fit into the pre-defined cadastral grid.

1. Co-ordinate values shall be exact multiples of six seconds and all perimeters shall conform to the exact division.
2. A polygon that forms an application for a licence or mining right shall be composed of an exact number of indivisible cadastre units which shall be contiguous.

Grant of consent to transfer

Application to abandon land subject to licence or permit

Grant of certificate of abandonment

Notice of appeal

Prescribed fees, area charges, etc.

Geometric rules for cadastral co ordinates

# An isolated polygon or polygon which is not joined to the other polygon by one vertex shall not be included in one licence or mining right application.

* 1. (1) A setting out on the ground of the cadastral co-ordinates shall be made by the use of the official parameters for the transformation between the topographical map co-ordinates and the Global Positioning System (GPS) co ordinates.

1. The Mining Cadastre Office shall provide the parameters outlined under sub-regulation (1) in order to guarantee the homogeneity in the positioning of licences and mining rights.
2. Where there is a contradiction between the field topographical data and the cadastral co-ordinates, the cadastral co-ordinates shall take priority.
   1. (1) The Mining Cadastre Office shall maintain an updated cadastral map.

(2) The cadastral maps and the cadastre registries shall be open to the public for inspection.

* 1. (1) An application under these Regulations shall not be approved if to do so would overlap neighbouring licences or mining rights.

1. Following the grant of an application under these Regulations, a holder of a licence or mining right, subject to the direction of the Mining Cadastre Office shall, at the holder’s cost
   1. obtain or update the perimeter beacons data; and
   2. obtain a pegging certificate.
2. A pegging certificate shall be in Form XLIII set out in the Fifth Schedule and shall be submitted to the Mining Cadastre Office.
   1. From the commencement of these Regulations, an area subject to any conflict or overlap that could affect the mining cadastral activity shall be blocked off until the resolution of the conflict and no application shall be accepted over the area.
   2. The Mines and Minerals (Applications for Mining Rights) Regulations, 1996, are hereby revoked.

Cadastral co ordinates and beacons setting

Cadastral maps

Pegging certificate

Area subject to conflict

Revocation of S.I. No. 123 of 1996

# FIRST SCHEDULE

(Regulation 4)

REGIONAL MINING CADASTRE OFFICES

|  |  |
| --- | --- |
| **DISTRICT** | **PROVINCE** |
| Kitwe | Copperbelt |
| Solwezi | North Western |
| Livingstone | Southern |
| Mkushi | Central |
| Chipata | Eastern |
| Mansa | Luapula |
| Mongu | Western |
| Kasama | Northern |
| Lusaka | Lusaka |

Form II (Regulation 9(2))

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **APPLICATION FOR A LARGE SCALE MINING LICENCE IN RESPECT OF RADIOACTIVE MINERALS** | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | |  | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | / |
| 1  2 | Period |  | | | | | | | | | | | | | | | | |  |
| Minerals |  | | | | | | | | | | | | | | | | |  |
| 3 | 1. Names of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | |
| Individual | | |  | Company | | |  | Co-operative | | |  | Partnership | | |  |  |
| 4 | 1. Date of Birth   (dd/mm/yyyy)   1. Nationality 2. identity card (National Registration Card) No. or Passport No. – (attach copies) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | |
| 5 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | |  |
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|  | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | |
| 6 | (a) Company Registration No.  (attach copies of certificate of regulation) |  | | | | | | | | | | | | | | | | |  |
|  | (b) Company Registration No. |  | | | | | | | | | | | | | | | | |  |
| Signature of Applicant (individual or authorised company representative) | | | | | | | | | | | | | | | | | | | |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | (b) Shareholders – (Attach copies of identity cards and companies Form 2 issued under the Companies Act, Cap. 388) | Shareholder | | Shares held | | Nationality | NRC/Passport No. | |  |
| .............................. | | ................................ | | ............................ | .......................................... | |  |
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| (c) Directors | Directror | | Shares held | | Nationality | NRC/Passport No. | |  |
| .............................. | | ................................ | | ............................ | .......................................... | |  |
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| (d) Compamy Chief Executive Officer | Name |  | | | Nationality |  | |  |
| (e) Company Secretary | Name |  | | | Nationality |  | |  |
| 8 | Current Mining Rights or licences in Zambia, if any, by applicant | Mining Right or Licence (Type & licence No.) | | | Country and Location | | | Area (Km2) |  |
| (a) | | | (a) | | | (a) |  |
| (b) | | | (b) | | | (b) |
| (c) | | | (c) | | | (c) |
| (d) | | | (d) | | | (d) |
| (e) | | | (e) | | | (e) |
| (f) | | | (f) | | | (f) |
| 9 | Previously held mining rights licences in Zambia, if any,  by applicant | Mining Right or Licence (Type & licence No.) | | | Country and Location | | | Area (Km2) |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  | (a)  (b)  (c)  (d)  (e)  (f) | | | (a)  (b)  (c)  (d)  (e)  (f) | | | | (a)  (b)  (c)  (d)  (e)  (f) |  |
| 10 | Previously held mining rights or licences in Zambia, if any, by subsidiary companies | Mining Right or Licence (Type & licence No.) | | | Country and Location | | | | Area (Km2) |  |
| (a)  (b)  (c)  (d)  (e)  (f) | | | (a)  (b)  (c)  (d)  (e)  (f) | | | | (a)  (b)  (c)  (d)  (e)  (f) |
| 11 | Currently held mining rights or licences in other countries by applicant | Mining Right or Licence (Type & licence No.) | | | Country and Location | | | | Area (Km2) |  |
| (a)  (b)  (c)  (d)  (e)  (f) | | | (a)  (b)  (c)  (d)  (e)  (f) | | | | (a)  (b)  (c)  (d)  (e)  (f) |
| 12 | 1. Have you been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and   Minerals Development Act No. 7 of 2008, or any other law within or outside Zanbia?  If Yes, specify details.............................................................................................................................................................  Nature of offence:.................................................................................................................................................................  Date of Conviction:................................................................................................................................................................  Sentence:................................................................................................................................................................................   1. Have you been declared bankrupt, or if a company, have you been in liquidation?   If yes, specify details............................................................................................................................................................. | | | | | | | | |  |
| 13 | Have you ever applied for a mining right or licence in Zambia? If yes, please give details?...................................................... | | | | | | | | |  |
|  | Mining right or licence applied for | | Location | | Area Km2 | | | Date of application | |  |
|  | |  | |  | | |  | |
|  | |  | |  | | |  | |
|  | |  | |  | | |  | |
| Status of application • Grant • Rejection • Pending | | | | | | | | | | |
| 14 | Area applied for (all coordinates in exact multiples of 6 seconds, latitude/longtude format, Degree-Minute-Second format, Arc 1950 | | | | | | | | |  |
| (a) Location | | Province |  | | District |  | | |  |
| Locality |  | | | | | |  |
| (b) Size (in Sq. Km.)  \*Prospecting licence area-not exceeding 1000km2  \*Large-scale mining licence area- not exceeding 250km2  \*Large-scale Gemstone licence area-not exceeding 250km2  \*Small-scale mining licence area- not exceeding 4km2 | | | | | | | | |  |

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|  | \*Small-scale Gemstone licence area-not exceeding 4km2  \*Prospecting permit area-not exceeding 10km2  \*Artisan’s mining right area-not exceeding 0.0668km2 | | |  | | | | | | | | | | | | | |  |
| (c) Coordinates  (Use additional sheet to indicate coordinates if required) | | | Latitude (S) | | | | | | Longtitude(E) | | | | | | | |  |
| Corner Corner Corner Corner | |  | D | D | M | M | S | S | D | | D | M | M | | S | S |  |
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| Corner Corner Corner Corner Corner Corner Corner  Corner | | 5 |  |  |  |  |  |  |  | |  |  |  | |  |  |  |
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| 12 |  |  |  |  |  |  |  | |  |  |  | |  |  |  |
| 15 | Appendices | | | | | | | | | | | | | | | | | |
| (a) Propsecting Licence | | | | | | | | | | | | | | | | | | |
|  | AppendixNo. 1 | Environmental Commitment Plan  (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment) | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 2 | Proposed programme of prospecting operations (should include an indication of the investment commitment) | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 3 | Proposals for employment and training of citizens of Zambia | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 4 | Proposals for promotion of local business development. | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 5 | Tax clearance certificate issued under Income Tax Act (Cap. 323) | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 6 | Any further information which the Director of Geological Survey may require | | | | | | | | |  | | | |  | | |  |
| (b) Large-Scale Mining Licence | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 1 | A comprehensive statement of the mineral deposits in the area over which the l,icence is sought (should include details of all known minerals proved, estimated or inferred, ore resources and mining conditions) | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 2 | Proposed programme for (\*propspecting) and mining operations (should include a forecast of capital investment, the estamated | | | | | | | | |  | | | |  | | |  |

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|  |  | receover rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered) |  |  |  |
|  | Appendix No. 3 | Environmental Management Plan  (Should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimizing adverse effects on the environment) |  |  |  |
|  | Appendix No. 4 | Details of expected infrastructure requirements |  |  |  |
|  | Appendix No. 5 | Proposals for employment and training of citizens of Zambia |  |  |  |
|  | Appendix No. 6 | Proposals for promotion of local business development |  |  |  |
|  | Appendix No. 7 | Tax clearance certificate (issued under Income Tax Act (Cap. 323) |  |  |  |
|  | Appendix No. 8 | Plan of proposed mining area |  |  |  |
|  | Appendix No. 9 | Any further information which the Director may require |  |  |  |
| (c) Large-Scale Gemstone Licence | | | | | |
|  | Appendix No. 1 | A comprehensive statement of the mineral deposits or probable depositsa in the area over which the licence is sought (should include details of all known minerals proved, estimated or inferred, ore resources and mining conditions) |  |  |  |
|  | Appendix No. 2 | Proposed programme for propsecting and mining operations  (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered) |  |  |  |
|  | Appendix No. 3 | Environmental Management Plan  (Should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment) |  |  |  |
|  | Appendix No. 4 | Details of expected infrastructure requirements |  |  |  |
|  | Appendix No. 5 | Proposals for employment and training of citizens of Zambia |  |  |  |
|  | Appendix No. 6 | Proposals for promotion of local business development |  |  |  |
|  | Appendix No. 7 | Tax clearance certificate (issued under Income Tax Act (Cap. 323) |  |  |  |
|  | Appendix No. 8 | Plan of proposed mining area |  |  |  |
|  | Appendix No. 9 | Plan for cutting, polishing and faceting of gemstones in Zambia |  |  |  |
|  | Appendix No. 10 | Any further information which the Direftor may require |  |  |  |
| (d) Prospecting Permit | | | | |  |
|  | Appendix No. 1 | Environmental Management Plan  (Should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment) |  |  |  |
|  | Appendix No. 2 | Statement of the sum the applicant intends to expend on  propspecting operations |  |  |  |
|  | Appendix No. 3 | Proposed programme of propspecting operations |  |  |  |
|  | Appendix No. 4 | Tax clearance certificate (issued under Income Tax Act (Cap. 323) |  |  |  |
| (e) Small-Scale Mining Licence | | | | |  |
|  | Appendix No. 1 | Copy of relevant propsecting permit and prospecting report |  |  |  |
|  | Appendix No. 2 | Proposed programme of mining operations  (should include forecast of capital investment, the estimated reovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals receovered) |  |  |  |

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|  | Appendix No. 3 | Description of the mineral deposit in area over which the licence is sought |  |  |  |
|  | Appendix No. 4 | Statement of duration for which licence is sought (should not exceed ten years |  |  |  |
|  | Appendix No. 5 | Tax clearance certificate (issued under Income Tax Act (Cap. 323) |  |  |  |
|  | Appendix No. 6 | Environmental Management Plan  (Should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment) |  |  |  |
|  | Appendix No. 7 | Any further information which the Director may require |  |  |  |
| (f) Small-Scle Gemstone Licence | | | | |  |
|  | Appendix No. 1 | Proposed programme of prospecting and mining operations (should include forecast of investment and estimnated recovery rate of ore and gemstones) |  |  |  |
|  | Appendix No. 2 | Statement of gemstone deposits in area over which licence is sought |  |  |  |
|  | Appendix No. 3 | Tax clearance certificate (issued under Income Tax Act (Cap. 323) |  |  |  |
|  | Appendix No. 4 | Environmental Commitment Plan |  |  |  |
|  | Appendix No. 5 | Any further information which the Director may require |  |  |  |
| (g) Artisan’s Mining Right | | | | |  |
|  | Appendix No. 1 | Environmental Management Plan  (Should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment) |  |  |  |
|  | Appendix No. 2 | Programme of intended mining operations  (Should include proposals for the proper conservation and use of mineral resources in mining area, in the national interest) |  |  |  |
| Application fee | | | | | |

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

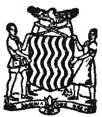
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Amount Received

Serial No. of application:

Form II (Regulation 9(2))

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

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| **APPLICATION FOR A LARGE SCALE MINING LICENCE IN RESPECT OF RADIOACTIVE MINERALS** | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | |  | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | / |
| 1  2 | Period |  | | | | | | | | | | | | | | | | |  |
| Minerals |  | | | | | | | | | | | | | | | | |  |
| 3 | 1. Names of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | |
| Individual | | |  | Company | | |  | Co-operative | | |  | Partnership | | |  |  |
| 4 | 1. Date of Birth   (dd/mm/yyyy)   1. Nationality 2. identity card (National Registration Card) No. or Passport No. – (attach copies) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | | | | | | | | | | | | | | | | |
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| 5 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | |  |
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|  | | | | | | | | | | | | | | | | |
| 6 | (a) Company Registration No.  (attach copies of certificate of regulation) |  | | | | | | | | | | | | | | | | |  |
|  | (b) Company Registration No. |  | | | | | | | | | | | | | | | | |  |
| Signature of Applicant (individual or authorised company representative) | | | | | | | | | | | | | | | | | | | |

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|  | (c) Shareholders – (Attach copies of companies Form 2 issued under the Companies Act, Cap. 388) | Shareholder | | Shares held | | Nationality | NRC/Passport No. attach copies | |  |
| ..............................  ..............................  ..............................  .............................. | | ..............................  ..............................  ..............................  .............................. | | .............................  .............................  .............................  ............................. | ...........................................  ...........................................  ...........................................  ........................................... | |  |
| (d) Directors | Directror | | Shares held | | Nationality | NRC/Passport No. | |  |
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| (e) Compamy Chief Executive  Officer | Name |  | | | Nationality |  | |  |
| (f) Company Secretary | Name |  | | | Nationality |  | |  |
| 7 | Current Mining Rights or licences in Zambia, if any, by applicant | Mining Right or Licence Country and Location Area (Km2) | | | | | | |  |
| (Type & licence No.) | | |  | | |  |
| (a)  (b)  (c)  (d)  (e)  (f) | | | (a)  (b)  (c)  (d)  (e)  (f) | | | (a)  (b)  (c)  (d)  (e)  (f) |  |

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| 8 | Previously held mining rights licences in Zambia, if any,  by applicant | Mining Right or Licence (Type & licence No.) | | | Location | | | | Area (Km2) |  |
| (a) | | | (a) | | | | (a) |  |
| (b) | | | (b) | | | | (b) |
| (c) | | | (c) | | | | (c) |
| (d) | | | (d) | | | | (d) |
| (e) | | | (e) | | | | (e) |
| (f) | | | (f) | | | | (f) |
| 9 | Currently held mining rights or licences in Zambia, if any, by subsidiary companies | Mining Right or Licence (Type & licence No.) | | | Location | | | | Area (Km2) |
| (a) | | | (a) | | | | (a) |  |
| (b) | | | (b) | | | | (b) |
| (c) | | | (c) | | | | (c) |
| (d) | | | (d) | | | | (d) |
| (e) | | | (e) | | | | (e) |
| (f) | | | (f) | | | | (f) |
| 10 | Currently held mining rights or licences in other countries by applicant | Mining Right or Licence (Type & licence No.) | | | Country and Location | | | | Area (Km2) |
| (a) | | | (a) | | | | (a) |  |
| (b) | | | (b) | | | | (b) |
| (c) | | |  | | | |  |
| 1. (c) 2. (d) 3. (e) 4. (f) | | | | |
| (d) | | |
| (e) | | |
| (f) | | |
| 11 | (a) Have you been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and | | | | | | | | |  |
|  | Minerals Development Act No. 7 of 2008, or any other law within or outside Zanbia? | | | | | | | | |
|  | If Yes, specify details............................................................................................................................................................. | | | | | | | | |
|  | Nature of offence:................................................................................................................................................................. | | | | | | | | |
|  | Date of Conviction:................................................................................................................................................................ | | | | | | | | |
|  | Sentence:................................................................................................................................................................................ | | | | | | | | |
|  | (b) Have you been declared bankrupt, or if a company, have you been in liquidation? | | | | | | | | |
|  | If yes, specify details............................................................................................................................................................. | | | | | | | | |
|  | ............................................................................................................................................................................................... | | | | | | | | |
| 12 | Have you ever applied for a mining right or licence in Zambia? If yes, please give details?.............................................. | | | | | | | | |  |
|  | Mining right or licence applied for | | Location | | Area Km2 | | | Date of application | |  |
|  | |  | |  | | |  | |
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|  | |  | |  | | |  | |
| Status of application • Grant • Rejection • Pending | | | | | | | | | |  |
| 13 | Area applied for (all coordinates in exact multiples of 6 seconds, latitude/longtude format, Degree-Minute-Second format, Arc 1950 | | | | | | | | |  |
| (a) Location | | Province |  | | District |  | | |  |
| Locality |  | | | | | |  |
| (b) Size (in Sq. Km.)  \*Large-scale mining licence area- not exceeding 250km2 | |  | | | | | | |  |

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|  | (c) Coordinates | | | Latitude (S) | | | | | | Longtitude(E) | | | | | | | |  |
| Corner Corner Corner Corner | |  | D | D | M | M | S | S | D | | D | M | M | | S | S |  |
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| Corner Corner Corner Corner Corner Corner Corner  Corner | | 5 |  |  |  |  |  |  |  | |  |  |  | |  |  |  |
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| 14 Appendices | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 1 | A comprehensive statement of the mineral deposits in the area over which the licence is sought  (should include details of all known minerals proved, estimated or inferred, ore resources and mining conditions) | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 2 | Proposed programme for (\*propsecting) amd mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals  recovered) | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 3 | Environmental Commitment Plan  (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment) | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 4 | Details of expected infrastructure requirements | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 5 | Proposals for employment and training of citizens of Zambia | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 6 | Proposals for promotion of local business development. | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 7 | Tax clearance certificate issued under Income Tax Act (Cap. 323) | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 8 | Plan of proposed mining area | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 9 | Plan and description of mine, processing facilities and proposed disposal sites | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 10 | Copy of licence issued under the Ionizing Radiation Protection Act No. 15 of 2005 | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 11 | Programme to educate persons in the vicinity of the mine or plant on safety, health and the environment in relation to radiation | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 12 | Programme to assist authorities outside the mining area in planning and preparing to limit adverse effects of radiation | | | | | | | | |  | | | |  | | |  |

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|  | Appendix No. 13 | Proposed pgramme for selecting, using and maintenance of personal protective equipment |  |  |  |
|  | Appendix No. 14 | Proposed training programme for workers in relation to  radiation safety |  |  |  |
|  | Appendix No. 15 | Proposed ventilation and dust control methods and associated equipment |  |  |  |
|  | Appendix No. 16 | Proposed security measure during mining, processing storage, transportation (including measures to alert the holder of acts of sabotage |  |  |  |
|  | Appendix No. 17 | Storage, transportation and marketing arrangements in conformity with the Nuclear Non-Proliferation Treaty (NPT) |  |  |  |
|  | Appendix No. 18 | Any further information which the Director may require |  |  |  |
| Application fee | | | | | |

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form III (Regulation 10 (1))

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **APPLICATION FOR A MINERAL PROCESSING LICENCE** | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | |  | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | / |
| 1  2 | Period |  | | | | | | | | | | | | | | | | |  |
| Minerals |  | | | | | | | | | | | | | | | | |  |
| 3 | 1. Names of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | |
| Individual | | |  | Company | | |  | Co-operative | | |  | Partnership | | |  |  |
| 4  5 | 1. Date of Birth (dd/mm/yyyy) 2. Nationality 3. Identity card (National Registration Card) No. or Passport No.   Applicant’s Address |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | |
| 6 | Tel: Fax:  E-mail  (a) Company Registration No. |  | | | | | | | | | | | | | | | | |  |
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|  | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | |
| Signature of Applicant (individual or authorised company representative) | | | | | | | | | | | | | | | | | | | |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | (b) Shareholders | Shareholder | | Shares held | | Nationality | NRC/Passport No. | |  |
| ..................................  ..................................  ..................................  .................................. | | ..............................  ..............................  ..............................  .............................. | | .............................  .............................  .............................  ............................. | ...........................................  ...........................................  ...........................................  ........................................... | |  |
| (c) Directors | Directror | | Shares held | | Nationality | NRC/Passport No. | |  |
| ..................................  ..................................  ..................................  .................................. | | ..............................  ..............................  ..............................  .............................. | | .............................  .............................  .............................  ............................. | ...........................................  ...........................................  ...........................................  ........................................... | |  |
| (d) Compamy Chief Executive Officer | Name |  | | | Nationality |  | |  |
| (e) Company Secretary | Name |  | | | Nationality |  | |  |
| 7 | Current Mining Rights or licences in Zambia, if any, by applicant | Mining Right or Licence (Type & licence No.) | | | Location | | | Area (Km2) |  |
| (a)  (b)  (c)  (d)  (e)  (f) | | | (a)  (b)  (c)  (d)  (e)  (f) | | | (a)  (b)  (c)  (d)  (e)  (f) |  |
| 8 | Previously held mining rights licences in Zambia, if any,  by applicant | Mining Right or Licence (Type & licence No.)  (a)  (b)  (c)  (d)  (e)  (f) | | | Location  (a)  (b)  (c)  (d)  (e)  (f) | | | Area (Km2)  (a)  (b)  (c)  (d)  (e)  (f) |  |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 9 | Currently held mining rights or licences in Zambia, if any, by subsidiary companies | Mining Right or Licence (Type & licence No.)  ( a )  ( b)  ( c )  ( d)  ( e )  ( f ) | | | | | | Location  (a)  (b)  (c)  (d)  (e)  (f) | | | | | | | | | Area (Km2)  (a)  (b)  (c)  (d)  (e)  (f) | | |  |
| 10 | Currently held mining rights or licences in other countries by applicant | Mining Right or Licence (Type & licence No.)  ( a )  ( b)  ( c )  ( d)  ( e )  ( f ) | | | | | | Location  (a)  (b)  (c)  (d)  (e)  (f) | | | | | | | | | Area (Km2)  (a)  (b)  (c)  (d)  (e)  (f) | | |
| 11 | (a) Have you been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and Minerals Act (Cap. 213), the Mines and Minerals Development Act, No. 7 of 2008 or any other law within or outside Zanbia?  If yes, specify details.............................................................................................................................................................  Nature of offence:.................................................................................................................................................................  Date of Commission:.............................................................................................................................................................  Sentence:................................................................................................................................................................................ | | | | | | | | | | | | | | | | | | |  |
| 12 | Have you ever applied for a mining right or licence in Zambia? If yes, please give details  ................................................................................................................................................................................................. | | | | | | | | | | | | | | | | | | |  |
|  | Mining right or licence applied for | | | Location | | | | Area Km2 | | | | | | Date of application | | | | | |  |
|  | | |  | | | |  | | | | | |  | | | | | |
|  | | |  | | | |  | | | | | |  | | | | | |
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| 13 Area applied for (all coordinates in exact multiples of 6 seconds, latitude/longtude format, Degree-Minute-Second format, Arc 1950 | | | | | | | | | | | | | | | | | | | | |
|  | (a) Location  (c) Coordinates | | | Province | |  | | | | District | | |  | | | | | | |  |
| Locality | |  | | | | | | | | | | | | | |  |
| Latitude (S) Longtitude(E) | | | | | | | | | | | | | | | |  |
| Corner Corner Corner Corner | |  | D | D | M | M | | S | | S | D | | | D | M | M | S | S |  |
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| Corner Corner Corner Corner Corner  Corner | | 5 |  |  |  |  | |  | |  |  | | |  |  |  |  |  |  |
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|  | Corner Corner | |  |  |  |
| 11 |  |
| 12 |  |
| 15 | Appendices | | | |  |
| Appendix No. 1 | Description and plan of surrounding developments | | |  |
|  | Appendix No. 2 | Proposed programme for mineral processing operations (should include a forecast of capital investment, proposed  plant capacity, the estimated recovery rate of mineral products, and the proposed treatment methods and disposal of ore and minerals recovered) | | |  |
|  | Appendix No. 3 | Environmental Management Plan (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or mi nimising adverse effects on the environment) | | |  |
|  | Appendix No. 4 | Details of expected infrastructure requirements | | |  |
|  | Appendix No. 5 | Proposals for employment and training of citizens of Zambia | | |  |
|  | Appendix No. 6 | Tax clearance certificate issued under Income Tax Act (Cap. 323) | | |  |
|  | Appendix No. 7 | Any further information which the Director of Geological Survey may require | | |  |
|  | Appendix No. 8 | Documentation on title to land or written consent of legal occupier of land | | |  |
| Application fee | | | | | |

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form IV (Regulation 10 (2))

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| **APPLICATION FOR GEMSTONE SALES CERTIFICATE** | | | | | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | | Licence code | | | | | |  | | | | | | | |
| Date and Time | | | | | |  | | | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | | | | | / |
| 1 | 1. Name(s) of applicant(s) 2. Maiden name |  | | | | | | | | | | | | | | | | | | | | |  |
|  | |  |  | | | |  |  | | | |  | |  | | | |  |  | |
|  | (c) Type of applicant | Individual | | | | | | | |  | | Partnership | | | | | | | | | |  |  |
| 2 | 1. Date of Birth (dd/mm/yyyy) 2. Nationality 3. Identity card (National Registration Card) No. or Passport No. |  |  |  |  |  |  |  |  |  |  | |  | |  | |  |  |  |  |  | |  |
|  | | | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | |
| 3 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | | | | | |  |
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|  | | | | | | | | | | | | | | | | | | | | |
| 4 | Residential Address |  | | | | | | | | | | | | | | | | | | | | |  |
| 5 | Types of Gemstones |  | | | | | | | | | | | | | | | | | | | | |  |
| 6 | Particulars of finance |  | | | | | | | | | | | | | | | | | | | | |  |
| 7 | 1. Name and address of bankers 2. Telephone No. 3. Fax No. . 4. E-mail . |  | | | | | | | | | | | | | | | | | | | | |  |
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| ..........................................................................................................................................................  .......................................................................................................................................................... | | | | | | | | | | | | | | | | | | | | |
| (Financial reference (other than own bankers)  (a) .  (b) |  | | | | | | | | | | | | | | | | | | | | |  |
| .......................................................................................................................................................... | | | | | | | | | | | | | | | | | | | | |

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| --- | --- | --- | --- |
| 8 | Appendices | |  |
| Appendix No. 1 | Copy of National Registration Card or Passport |  |
|  | Appendix No, 2 | Bank account statement or letter from bank |  |
|  | Appendix No. 3 | Copies of trading (Gemstone buying and sales) returns, where applicable |  |
|  | Appendix No. 4 | Details of other assets |  |
|  | Application Fee |  |  |

I,…………………………………………….do hereby declare that the information given above is true and correct to the best of my knowledge and belief.\*

Signature…...............……………………………… Date………….....................……………………….

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

\**Note: Any declaration in this application which is to the knowledge of the maker, false or untrue, shall render this application void ab initio.*

Serial No. of application………………………………………….

Form III (Regulation 10 (1))

(To be completed in triplicate)

**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

### APPLICATION FOR A MINERAL PROCESSING LICENCE

Shaded fields for official use only Licence code

Date and Time

*Information Required Information Provided*

1. Period
2. Mineral

3

1. Names of applicant(s)(b) Type of applicant

individual Company

Cooperative \*

Partnership

4 (a)

Date of Birth(dd/mm/yyyy)(b) Nationality (c) identity card (National Registration Card) No. or Passport No. – (attach copies)

5

Applicant’s AddressTel:Fax:E-mail

6 (a) Company Registration No.(attach copies of certificate of regulation)

1. Company Registration No.

Signature of Applicant (individual or authorized company representative)

1. Shareholders – (Attach copies of identity cards and companies Form 2 issued under the Companies Act, Cap. 388)

Shareholder Share held Nationality

NRC/Passport No.Attach copies

1. Directors Director Share held Nationality NRC/Passport No.
2. Company Chief Executiveofficer Name Nationality
3. Company Secretary Name Nationality
4. Current Mining Rights or licences in Zambia, if any by applicant Mining Right or Licence(Type & Licence No. Country and location Area (km²)

(a)(b)(c)(d)(e)(f)

(a)(b)(c)(d)(e)(f)

(a)(b)(c)(d)(e)(f)

1. Previously held mining rights or licences in Zambia, if any, by applicant Mining right or licence (Type & Licence No.)

Country and location Area (km²)

(a)(b)(c)(d)(e)(f) (a)(b)(c)(d)(e)(f)

(a)(b)(c)(d)(e)(f)

1. Current held mining rights or licences in Zambia, if any by subsidiary companies

Mining Right or Licence(Type & Licence No. Country and location

Area (km²)

(a)(b)(c)(d)(e)(f)

(a)(b)(c)(d)(e)(f)

(a)(b)(c)(d)(e)(f)

1. Current held mining rights or licences in other countries by applicant Mining Right or Licence(Type & Licence No.

Country and location Area (km²)

(a)(b)(c)(d)(e)(f)

(a)(b)(c)(d)(e)(f)

(a)(b)(c)(d)(e)(f)

1. (a) Have you been convicted of an offence involving fraud or dishonesty or of any offence under the Mines and Mineral Development Act No. 7 of 2008, or any other law within or outside Zambia?If yes, specify details…………………………………………………………………………………………Nature of offence……………………………………………………………………………………………..Date of

on…iCcton…vi ………………………………………………………………………………………Sencet………………………………………………………………………………………………………b)( Have you been declared bankrupt, or if a company, have you been in liquidation?If yes, specify details…………………………………………………………………………………………

1. Have you ever applied for a mining right or licence in Zambia? If yes, please give

detail………………………………………………………………………………………………………….

Mining right or licence applied for

Location Area (km²) Date of Application

Status of application — Granted —Rejected

—Pending

1. Area applied for (all coordinates in exact multiple of 6 seconds, latitude/ longitude format. degree Minute-Second format Arc 1950)

Location Province District

Locality

(a)

* 1. Size (in Sq. Km) \*Large –scale Mining licence

area-not exceeding 250km²

* 1. Coordinate Latitudes(S) Longitude (E)

Corner

CornerCornerCorner D

D M M S

S D D M

M S S

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Corner CornerCorner

Corner 5

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8

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Corner Corner 10

11

12

1. Appendices

Appendix No. 1 A comprehensive statement of the mineral deposits in the area over which the licene is sought (should include details of all known minerals proved, estimated or inferred, ore resources and mining conditions).

Appendix No. 2 Proposed programme of (\*prospecting) and mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and mineral recovered).

Appendix No. 3 Environmental Management Plan (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimizing adverse effects on the environment).

Appendix No. 4

Details of expected infrastructure requirements.

training of citizens of Zambia. business development.

Appendix No. 5 Proposals for employment and Appendix No. 6 Proposal for promotion of local

Appendix No. 7 Tax

clearance certificate issued under Income Tax Act (Cap. 323)

Appendix No. 8 Plan of proposed mining area. Appendix No. 9 Plan and description of mine,

processing facilities and proposed disposal sites.

Appendix No. 10 Copy of licence issued under the Ionizing Radiation Protection Act, No. 15 of 2005.

Appendix No. 11 Programme to educate persons in the vicinity of the mine or plant on safety, health and the environmental in relation to radiation.

Appendix No. 12 Programme to assist authority outside the mining area in planning and preparing to limit adverse effects of radiation

Appendix No. 13

Proposed programme for selecting, using and maintenance of personal protective equipment.

Appendix No. 14 Proposed training programme for workers in relation to radiation safety.

Appendix No. 15 Proposed ventilation and dust control methods and associated equipment

Appendix No. 16

Proposed security measures during mining, processing storage, transportation (including measure to alert the holder of acts of sabotage.

Appendix No. 17 Storage, transportation and marketing arrangement in conformity with the Nuclear Non-Proliferation Treaty (NPT).

Director may require. Application Fee

Appendix No. 18 Any further information which the

……………………………………………

……………………………………

Applicant Date

……………………………………………..

………………………………………

Officer Date

### FOR OFFICIAL USE ONLY

Received by:…………………………………………………….. STAMP

Officer

Amount Received……………………………………………….. Serial No. of application………………………………………….

Form IV (Regulation 10 (2))

(To be completed in triplicate)

**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

### APPLICATION FOR GEMSTONE SALES CERTIFICATE

Shaded fields for official use only Licence code

Date and Time

*Information Required Information Provided*

1 (a) Name(s) of applicant (s)(b)

Maiden name

(c) Type

of applicant

1. (a) Date of Birth(dd/mm/yyyy)(b) Nationality (c) identity card (National Registration Card) No. or Passport No. – (attach copies)
2. Applicant’s AddressTel:Fax:E-mail 4

Residential address

1. Type of Gemstones
2. Particulars of Finance
   1. Name and address of bankers(b) Telephone No.(c) Fax No.(d) E-mail
3. (Financial reference (0ther then own bankers)(a)(b)
4. Appendices

Appendix No. 1 Copy of National Registration Card or Passport Appendix No. 2 Bank account statement or letter from bank

Appendix No. 3 Copies of trading (Gemstone buying and sales) returns, where applicable

Appendix No. 4 Details of other assets

Application Fee I,…………………………………………….do hereby declare that the information given above is true and correct to the best of my knowledge and belief.\*

Signature…………………………………

Date………………………………….

……………………………………………

……………………………………

Applicant Date

……………………………………………..

………………………………………

Officer Date

### FOR OFFICIAL USE ONLY

Received by:…………………………………………………….. STAMP

Officer

Amount Received……………………………………………….. Serial No. of application………………………………………….

\**Note: Any declaration in this application which is to the knowledge of the maker, false or untrue, shall render this application void ab initio.*

Form V (Regulation 11)

(To be completed in triplicate)

**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

### APPLICATION FOR AN ANNUAL OPERATING PERMIT

Shaded fields for official use only Licence code

Date and Time

*Information Required Information Provided*

1. Mining Right or Licence No.
2. (a) Names of applicant(s)(b) Type of

applicant

individual

Company Cooperative

Partnership

3 (a)

Date of Birth(dd/mm/yyyy)(b) Nationality (c) identity card (National Registration Card) No. or Passport No. – (attach copies)

4

Applicant’s AddressTel:Fax:E-mail

1. (a) Company Registration No. (attach copies of certificate of registration issued under the Companies Act, Cap. 388)
2. Shareholders – (Attach copies of identity cards). Shareholder Share held Nationality NRC/Passport No.Attach copies
3. Directors Director Share held Nationality NRC/Passport No.
4. Company Chief Executiveofficer Name Nationality
5. Company Secretary Name Nationality
6. Appendices

Appendix No. 1 Safety, health and environmental report, (includes status of environmental management plan over last one year).

Appendix No. 2

Curricula Vitae of appointed competent persons (safety, health, environment and quality.

Appendix No. 3 Details of lost time accidents and fatalities over last one year.

Appendix No. 4

Pollution incident and measures taken reports.

Appendix No. 5 Employment levels (holders and contractors).

Appendix No. 6 Mining method, mineral processing methods and nay changes thereto over the last one year, equipment in operation and equipment procured in last one year.

Appendix No. 7

Status report on training school (mining, processing plants, mechanical equipment

operations and first aid). Application Fee

Declaration

I,……………………………………………. hereby declare that the holder shall comply with the provision of the Mines and Minerals Development Act, No. 7 of 2008, the Explosives Act, Cap. 115 the Workers’ Compensation Act No. of 1999, and other relevant laws of Zambia.

Signature………………………………… Date………………………………….

(Holder/Agent)

……………………………………………

……………………………………

Officer Date

### FOR OFFICIAL USE ONLY

Received by:…………………………………………………….. STAMP

Officer Received………………………………………………..

Serial No. of application………………………………………….

Form VI (Regulation 12)

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **APPLICATION FOR PERMIT TO IMPORT/EXPORT MINERAL, ORE OR MINERAL PRODUCT** | | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | |  | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | | / |
| 1 | Type of Minerals or Mineral products to be  \*exported/imported |  | | | | | | | | | | | | | | | | | |  |
| 2 | Period |  | | | | | | | | | | | | | | | | | |  |
| 3  4 | 1. Names(s) of applicant(s) 2. Type of applicant 3. Date of Birth (dd/mm/yyyy) 4. Nationality 5. identity card (National Registration Card) No. or Passport No. |  | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | |
| Individual | | |  | Company | | |  | | Co-operative | | |  | Partnership | | |  |  |
|  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |
|  | | | | | | | | | | | | | | | | | |
| 5 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |  |
| (a) Company Registration No.  (Attach copies of Registration |  | | | | | | | | | | | | | | | | | |  |
| 6 | certificate issued under the Companies Act, Cap. 388) |  | | | | | | | | | | | | | | | | | |  |

\*Delete whichever is not applicable

* 1. Shareholders

(attach copies of identity cards Shareholder Shares held Nationality NRC/Passport No.

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* 1. Directors Directror Shares held Nationality NRC/Passport No.

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* 1. Compamy Chief Executive

Officer Name Nationality

* 1. Company Secretary Name Nationality
     1. Destination of

\*export/import

* + 1. Name and address of

1. receient

Quantity and value of mineral

1. commodity to be exported/Imported
2. Appendices
   1. Export Permit

Appendix No. 1 Mineral analysis certificate issued by the Director of Geological Survey. Appendix No. 2 Verification report on mineral royalty payment from the Commissioner-General. Appendix No. 3 Security clearance by the Zambia Police Force.

Appendix No. 4 Copies of certified production returns made by the holder.

Appendix No. 5 Mining right or mineral processing licence or Gemstone sales certificate.

* 1. Import Permit

Appendix No. 1 Supply contract documents Appendix No. 2 Mineral analysis report.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Appendix No. 3 | Company registration details. |  |  |
| Appendix No. 4 | Proof of purchase and clearance from country of export (should include copies of receipts and export documentation) |  |  |
| Application fee | | | | |

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form VII (Regulation 13)

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

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| **APPLICATION FOR CONSENT TO ACQUIRE/STORE/TRANSPORT/SELL/EXPORT RADIOACTIVE MINERALS** | | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | |  | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | | / |
| 1 | Type of Minerals or Mineral products |  | | | | | | | | | | | | | | | | | |  |
| 2 | Period |  | | | | | | | | | | | | | | | | | |  |
| 3 | 1. Name(s) of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | | |
| Individual | | |  | Company | | |  | | Co-operative | | |  | Partnership | | |  |  |
| 4 | 1. Date of Birth (dd/mm/yyyy) 2. Nationality 3. Identity card (National Registration Card No. or Passport No.) 4. Source 5. Transportation method 6. Export recipient and full address 7. Export quantity 8. Export value 9. Radiation Protection Officer (attach appointment documentation) |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
| 5 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
| 6 | 1. Destination of mineral commodity 2. Name and address of recipient/buyer |  | | | | | | | | | | | | | | | | | |

* 1. Quantity and value of mineral commodity

7 (a) Company Name

1. Company Address
2. Company Registration No. (attach copies of registration certificate issued under the Companies Act, Cap. 388)
3. Shareholders

(attach copies of identity

cards Shareholder Shares held Nationality NRC/Passport No.

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1. Directors Directror Shares held Nationality NRC/Passport No.

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1. Compamy Chief Executive

Officer Name Nationality

1. Company Secretary Name Nationality

8 Appendices

Appendix No. 1 Copy of licence from Radiation Protection Authority (acquisition, storage, transportation or export

Appendix No. 2 Security measures (endorsed by Inspector-General of Police and the Office of the President

Appendix No. 3 Company registration details

Appendix No. 4 Mineral analysis certificate issued by the Director of Geological Survey.

Apprication fee

I declare that the recipient of this export consignment shall not, under any circumstances, return any arising nuclear fuel waste to Zambia.

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form VIII (Regulation 14)

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **APPLICATION FOR MINERAL ANALYSIS CERTIFICATE** | | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | | Licence code | | | | |  | | | | |  |
| Date and Time | | | | |  | | | | |  |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | | / |
| 1 | 1. Name(s) of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | | |  |
| Individual | | |  | Company | | |  | | Co-operative | | |  | Partnership | | |  |  |
| 2 | 1. Date of Birth (dd/mm/yyyy) 2. Nationality 3. Identity card (National Registration Card No. or Passport No.) |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
| 3  4 | Applicant’s Address Tel:  Fax:  E-mail  Company Registration No. |  | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
| 5 | Minerals or mineral products to be analysed |  | | | | | | | | | | | | | | | | | |  |
| 6 | Analysis requested |  | | | | | | | | | | | | | | | | | |  |
|  | Application Fee |  | | | | | | | | | | | | | | | | | |  |

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form IX (Regulation 15)

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

**APPLICATION FOR A VALUATION CERTIFICATE**

Shaded fields for official use only

Licence code

Date and Time

*Information Required*

*Information Provided*

/

1

1. Name(s) of applicant(s)
2. Type of applicant

Individual

Company

Co-operative

Partnership

2

1. Date of Birth (dd/mm/yyyy)
2. Nationality
3. Identity card (National Registration Card No. or Passport No.)

3

4

Applicant’s Address Tel:

Fax:

E-mail

Company Registration No.

5

Minerals or mineral products

to be valued

6

Source of minerals

(a) Shareholders

Shareholder

Shares held

Nationality

NRC/Passport No.

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|  | (b) Directors |  | hareholder | Shares held | Nationality NRC/Passport No. | |  |
|  |  | ................................... | .................................... | ...................................................................... | |
|  |  | ................................... | .................................... | ...................................................................... | |
|  |  | ................................... | .................................... | ...................................................................... | |
|  |  | ................................... | .................................... | ...................................................................... | |
|  |  | ................................... | .................................... | ...................................................................... | |
| (f) Compamy Chief Executive |  |  | |  |  |  |
| Officer |  | Name | | Nationality |
| (g) Company Secretary |  | Name | | Nationality |  |  |
| Appendices |  |  | | | |  |

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form X (Regulation 16 (1))

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| **APPLICATION TO OPERATE A MINERAL ANALYSIS LABORATORY** | | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | |  | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | | / |
| 1 | Name and location of laboratory |  | | | | | | | | | | | | | | | | | |  |
| 2 | 1. Name(s) of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | |
| Individual | | |  | Company | | |  | | Co-operative | | |  | Partnership | | |  |  |
| 3 | 1. Date of Birth (dd/mm/yyyy) 2. Nationality 3. Identity card (National Registration Card No. or Passport No.) |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
| 4 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
| 5 | (a) Company Registration No. (Attach copy of certificate of registration issued under the Companies Act, Cap. 388) |  | | | | | | | | | | | | | | | | | |  |
|  | (b) Shareholders | Shareholder | | | | Shares held | | | | | | Nationality | | | | NRC/Passport No. | | | |  |
| .......................................  .......................................  .......................................  .......................................  ....................................... | | | | ....................................  ....................................  ....................................  ....................................  .................................... | | | | | | ...............................  ...............................  ...............................  ...............................  ............................... | | | | ...................................  ...................................  ...................................  ...................................  ................................... | | | |

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(c) Directors

Shareholder

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Shares held

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Nationality

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NRC/Passport No.

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(d) Compamy Chief Executive

Officer

Name

Nationality

(e) Company Secretary

Name

Nationality

6

Appendices

Appendix No. 1

Curricula Vitae of key personnel

Appendix No. 2

Standards, local and international certificate

Appendix No. 3

Company registration details

Appendix No. 4

Analytical equipment in laboratory and year of manufacture, state of

equipment and other details

Application fee

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Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form XI (Regulation 16 (2))

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **APPLICATION FOR AN ANNUAL GEOLOGICAL OR MINING CONSULTANCY PERMIT** | | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | |  | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | | / |
| 1 | Type of consultancy  (Geological/Mining) |  | | | | | | | | | | | | | | | | | |  |
| 2 | Period (one year or less) |  | | | | | | | | | | | | | | | | | |  |
| 3 | 1. Name(s) of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | | |
| Individual | | |  | Company | | |  | | Co-operative | | |  | Partnership | | |  |  |
| 4 | 1. Date of Birth (dd/mm/yyyy) 2. Nationality 3. Identity card (National Registration Card No. or Passport No.) |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
| 5 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | |
| 6 | (a) Company Registration No. (Attach copies of registration certificate issued under the Companies Act, Cap. 388) |  | | | | | | | | | | | | | | | | | |  |
|  | (b) Shareholders | Shareholder | | | | Shares held | | | | | | Nationality | | | | NRC/Passport No. | | | |  |
| .......................................  .......................................  .......................................  .......................................  .......................................  ....................................... | | | | ....................................  ....................................  ....................................  ....................................  ....................................  .................................... | | | | | | ...............................  ...............................  ...............................  ...............................  ...............................  ............................... | | | | ...................................  ...................................  ...................................  ...................................  ...................................  ................................... | | | |
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(c) Directors

Shareholder

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Shares held

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Nationality

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NRC/Passport No.

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(d) Compamy Chief Executive

Officer

Name

Nationality

(e) Company Secretary

Name

Nationality

7

Appendices

Appendix No. 1

Curricula Vitae of key personnel and certified copies of certificates

Appendix No. 2

Certified copes of registration with the Engineering Institute of

Zambia and Engineers Registration Board

Appendix No. 3

Details of previous professional experience

Appendix No. 4

Company registration details

Application fee receipt number

.............................................................................................................................................

.............................................................................................................................................

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form XII (Regulation 17)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008 FORM OFAUTHORISATION OFAGENT**

1. Here insert the full names of the

person authorising, and in the case of a company, the name of the Director

1. Here insert name and address of agent
2. Here state the particular matter or proceeding for which the agent is appointed, giving

the reference number if known.

1. Here state nationality of principal
2. To be signed by person appointing the agent
3. Here insert the full trade or

business address of principal

I/we(1)………………………………………………………..............................................................................

have appointed (2)……………………………………………….....................................................................

of…………………………………………………………………......................................................................

to act as my/our agent for (3)……………………………………...…………………...................................

......................................................................................................................................................................................

and request that all notices, requisition and communications relating thereto may be sent to such agent at the above address.

I/we have hereby revoke all previous authorisations, if any, in respect of the same matter or proceedings.

I/we hereby declare that I am/we are in (4)………………………...................................................

Dated this…..............……..day of…………….................................………..20……….....……….. (5)……………………………….......................................................................................

Signature of principal

Address (6)………………………………………………………........................................................

Dated this…………..…..day of…………..............................................……20…………..................

(5)………………………………........................................................................................

Signature of agent

Address (6)………………………………………………………........................................................

Form XIII (Regulation 20)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

**REQUEST FOR FURTHER PARTICULARS**

1. Here insert the full names and address of the

applicant

1. Here insert the reference No. of the application and the type of application

To (1)…………………………………………………………………………………......................................

…………………………………………………..……………………….............................................................

……………………………………………............................................................................................................

IN THE MATTER OF (2)…………………........................................................………….you are hereby

(Application reference)

requested to furnish the following information or documents in respect of your application: (a)………………..................................................................................................................................................... (b)……………………………………………………........................................................................................

(c) ………………………………………….........................................................................................................

(d)…………………………………….................................................................................................................

within seven/fourteen days of this notice. If you fail to furnish the requested information within the stipulated period, your application will be treated as invalid and shall be rejected.

Dated this……………..day of………………............................……………20…… .................

(3)……………………………......... Signature of Cadastre Officer

The Head of Mining Cadastre Mining Cadastre Office Exploration House Government Road

Lusaka Zambia

Form XIV (Regulation 21)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008 NOTICE OF SUCCESSFULAPPLICATION**

To (1)………...………………………………………...……………………………........................................

* 1. Here insert the full names and address of applicant
  2. Here insert the reference No. of the application
  3. Here insert type of application

………………………………………..……………………………………………………………………... IN THE MATTER OF (2) ………………………….......................................................................………you

are hereby notified that your application for (3)…………………................................................................ has been accepted on the following \*conditions:

(a)……………...................................................................................................................................................

(b)………………..............................................................................................................................................

(c)………………………..................................................................................................................................

(d)…………………………………................................................................................................................

Dated this ………………………….day of…………................................................……20…………….

.....................................................................

(Minister/Director/Director of Geological Survey/Director of Mines Safety)

\*Conditions may be attached on a separate sheet, if necessary

Form XV (Regulation 22)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008 NOTICE OF UNSUCCESSFUL APPLICATION**

1. Here insert the full names and address of applicant
2. Here insert the reference No. of the application
3. Here insert type of application.

To (1)……………………………………………………………...……………...............................................

…………………………………………..……………………………………………………………………... IN THE MATTER OF (2) ………………………....................................................................……...……you

are hereby notified that your application for (3)…………………................................................................ has been rejected on the following grounds:

(a)…………………………………………………………………...............................................................

(b)………………………………………………………………..................................................................

(c)…………………………………………………………………...............................................................

(d)………………………………………………………………….............................................................

Dated this …………………….day of………..........................................………20….....…............

………………….....……………………….. (Signature of Minister/Director

/Director ofGeological Survey/ Director of Mines Safety)

THIRD SCHEDULE

(Regulation 23 (1) and (2))

Form XVI (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

LICENCE No……………….

**PROSPECTING LICENCE**

(*Section 16 of the Mines and Mineral Development Act, No. 7 of 2008)*

Holder’s name………………………………………………………………………………...............................................................

Address…………………………………………………………………………………….................................................................. The prospecting area shall be the area described in the schedule and annexed hereto and bordered……………………………..on the Plan.

The licence relates to the following minerals……………………………………………................................................................

…………………………………………………………………………………………….....................................................................

The licence is granted for a period of………………………..............commencing on the…….................................................…. day of………………………………………........................................

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at…………………………...............…this ………………….day of…………………...................................

..........................………………………………. Director of Geological Survey

**ENDORSEMENT OF REGISTRATION**

This Prospecting Licence has this …….......……………….day of……….........................................................................………….

...........................................................................been registered in the Register.

............................……………………………….

Director of Geological Survey

**Renewals and Amendments**

|  |  |  |  |
| --- | --- | --- | --- |
| Date of Renewal or Amendment | Details of Renewal of Amendment | Date of Registration and Registration No. | Signature of Director Geological Survey |
|  |  |  |  |

Form XVII (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

LICENCE No……………….

**LARGE-SCALE MINING LICENCE**

(*Section 27 of the Mines and Mineral Development Act, No. 7 of 2008)*

Holder’s name………………………………………………………………………………...............................................................

Address…………………………………………………………………………………….................................................................... The mining area shall be the area described in the schedule and annexed hereto and bordered…………..............................…………………..on the Plan.

The licence relates to the following minerals……………………………………………................................................................

……………………………………………………………………………………………....................................................................

The licence is granted for a period of……………………..........................…..commencing on the…….....................................…. day of……………………………………….................................................................................................................................................

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at………….....................…………………this ………….....……….day of………………….........................

………………………................……….

Director

**ENDORSEMENT OF REGISTRATION**

This Large-Scale Mining Licence has this …….........……………….day of…………..............................................……….........

............................................................been registered in the Register.

…….............………………………….

Director

**Renewals and Amendments**

|  |  |  |  |
| --- | --- | --- | --- |
| Date of Renewal or Amendment | Details of Renewal of Amendment | Date of Registration and Registration No. | Signature of Director Geological Survey |
|  |  |  |  |

Form XVIII (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

LICENCE No……………….

**LARGE-SCALE GEMSTONE LICENCE**

(*Section 38 of the Mines and Mineral Development Act, No. 7 of 2008)*

Holder’s name……………………………………………………………………………….................................................................

Address…………………………………………………………………………………….................................................................... The mining area shall be the area described in the schedule and annexed hereto and bordered……………………………..................................on the Plan.

The licence relates to the following minerals……………………………………………................................................................

……………………………………………………………………………………………....................................................................

The licence is granted for a period of……………………....................................…..commencing on the………. ...........................

day of………………………………………...................................................................

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at…………..........…………………this ……....…………….day of…………………......................................

…………….....................………………….

Director

**ENDORSEMENT OF REGISTRATION**

This Large-Scale Gemstone Licence has this ………….........………….day of…………………...................................................

....................................................been registered in the Register.

.....................................……………………………….

Director

**Renewals and Amendments**

|  |  |  |  |
| --- | --- | --- | --- |
| Date of Renewal or Amendment | Details of Renewal of Amendment | Date of Registration and Registration No. | Signature of Director Geological Survey |
|  |  |  |  |

**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

PERMIT No……………….

**PROSPECTING PERMIT**

(*Section 49 of the Mines and Mineral Development Act, No. 7 of 2008)*

Holder’s name………………………………………………………………………………..............................................................

Address……………………………………………………………………………………................................................................. The prospecting area shall be the area described in the schedule and annexed hereto and bordered……………………………..................................on the Plan.

The permit relates to the following minerals…………………………………………….................................................................

…………………………………………………………………………………………….....................................................................

The permit is granted for a period of………………………........................commencing on the………. ......................................... day of………………………………………................................................................................................................................................

The conditions of grant of the permit are as shown in the Annexures attached hereto.

Issued at…………………...........…………this ………….................……….day of…………………..............................................

………......................……………………….

Director of Geological Survey

**ENDORSEMENT OF REGISTRATION**

This Prospecting Permit has this …………………...........................….day of………………….....................................................

..............................................been registered in the Register.

…………………......................……………. Director of Geological Survey

**Amendments**

|  |  |  |  |
| --- | --- | --- | --- |
| Date of Amendment | Details of Amendment | Date of Registration and Registration No. | Signature of Director Geological Survey |
|  |  |  |  |

**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

LICENCE No……………….

**SMALL-SCALE MINING LICENCE**

(*Section 56 of the Mines and Mineral Development Act, No. 7 of 2008)*

Holder’s name………………………………………………………………………………................................................................

Address…………………………………………………………………………………….................................................................. The mining areas shall be the area described in the schedule and annexed hereto and bordered……………………………..................................on the Plan.

The licence relates to the following minerals……………………………………………................................................................

…………………………………………………………………………………………….....................................................................

The licence is granted for a period of……………….............………..commencing on the………. ................................................. day of………………………………………...............................................................................................................................................

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at………………………...……this ………………….day of…………………................................................

…………………….......................………….

Director

**ENDORSEMENT OF REGISTRATION**

This Small-Scale Mining Licence has this ………………………………………..day of………………….................................

.............................................................been registered in the Register.

…………………...........................…………….

Director

Form XX (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

LICENCE No……………….

**SMALL-SCALE MINING LICENCE**

(*Section 56 of the Mines and Mineral Development Act, No. 7 of 2008)*

Holder’s name………………………………………………………………………………................................................................

Address…………………………………………………………………………………….................................................................. The mining areas shall be the area described in the schedule and annexed hereto and bordered……………………………..................................on the Plan.

The licence relates to the following minerals……………………………………………................................................................

…………………………………………………………………………………………….....................................................................

The licence is granted for a period of……………….............………..commencing on the………. ................................................. day of………………………………………...............................................................................................................................................

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at………………………...……this ………………….day of…………………................................................

…………………….......................………….

Director

**ENDORSEMENT OF REGISTRATION**

This Small-Scale Mining Licence has this ………………………………………..day of………………….................................

.............................................................been registered in the Register.

…………………...........................…………….

Director

**Renewals and Amendments**

|  |  |  |  |
| --- | --- | --- | --- |
| Date of Renewal or Amendment | Details of Renewal or Amendment | Date of Registration and Registration No. | Signature of Director |
|  |  |  |  |

Form XXI (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

LICENCE No……………….

**SMALL-SCALE GEMSTONE LICENCE**

(*Section 66 of the Mines and Mineral Development Act, No. 7 of 2008)*

Holder’s name………………………………………………………………………………..............................................................

Address…………………………………………………………………………………….................................................................

The mining areas shall be the area described in the schedule and annexed hereto and bordered……………………………..................................on the Plan.

The licence relates to the following minerals……………………………………………................................................................

……………………………………………………………………………………………....................................................................

The licence is granted for a period of……………………....…..commencing on the………........................................................... day of………………………………………...............................................................................................................................................

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at…………………...…………this ……....…………….day of…………………............................................

……………………..................………….

Director

**ENDORSEMENT OF REGISTRATION**

This Small-Scale Gemstone Licence has this ………………………………………..day of…………………............................

..................................................been registered in the Register.

…….....................………………………….

Director

**Renewals and Amendments**

|  |  |  |  |
| --- | --- | --- | --- |
| Date of Renewal or Amendment | Details of Renewal or Amendment | Date of Registration and Registration No. | Signature of Director |
|  |  |  |  |

Form XXII (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

LICENCE No……………….

**ARTISAN’S MINING LICENCE**

(*Section 75 of the Mines and Mineral Development Act, No. 7 of 2008)*

Holder’s name………………………………………………………………………………................................................................

Address……………………………………………………………………………………....................................................................

The mining areas shall be the area described in the schedule and annexed hereto and bordered……………………………..................................on the Plan.

The right relates to the following minerals……………………………………………....................................................................

……………………………………………………………………………………………....................................................................

The right is granted for a period of………………………........commencing on the………............................................................. day of………………………………………...............................

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at……………………………this ……….......………….day of………………….............................................

…………………….................………….

Director

**ENDORSEMENT OF REGISTRATION**

This Artisan’s Mining Right has this ………………………………………..day of…………………........................................

...........................................................been registered in the Register.

…………..........................…………………….

Director

**Renewals and Amendments**

|  |  |  |  |
| --- | --- | --- | --- |
| Date of Renewal or Amendment | Details of Renewal or Amendment | Date of Registration and Registration No. | Signature of Director |
|  |  |  |  |

Form XXIII (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

LICENCE No……………….

**MINERAL PROCESSING LICENCE**

(*Section 84 of the Mines and Mineral Development Act, No. 7 of 2008)*

Holder’s name………………………………………………………………………………...............................................................

Address……………………………………………………………………………………...................................................................

The mineral processsing area shall be the area described in the schedule and annexed hereto and bordered…………….......………………..on the Plan.

The licence relates to the following minerals……………………………………………................................................................

…………………………………………………………………………………………….....................................................................

The licence is granted for a period of……………..........…………..commencing on the………..................................................... day of………………………………………..............................................................................................................................................

The conditions of grant of the licence are as shown in the Annexures attached hereto.

Issued at……………………….....……this ….....……………….day of………………….........................................

…………...........……………………. Director of Geological Survey

**ENDORSEMENT OF REGISTRATION**

This Mineral Processing Licence has this ………………………………………..day of……….................................………….

.......................................................been registered in the Register.

…………...........……………………. Director of Geological Survey

**Renewals and Amendments**

|  |  |  |  |
| --- | --- | --- | --- |
| Date of Renewal or Amendment | Details of Renewal or Amendment | Date of Registration and Registration No. | Signature of Director |
|  |  |  |  |

Form XXIV (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

CERTIFICATE No……………….

GEMSTONE SALES CERTIFICATE

(Section 94 of the Mines and Mineral Development Act, No. 7 of 2008)

**(PART VII)**

Name of Holder………..…………………………………………………………………….................................................................

Address…………………………………………………………………………………….................................................................. The certificate is valid for a period of one year commencing on the

.............................................………………day .............................................................of…………………………………………........

The conditions of grant of the certificate are as shown in the Annexures attached hereto.

Issued at……………………...………this …….........…………….day of…………………........................................

……………..............………………….

Director

**ENDORSEMENT OF REGISTRATION**

This Gemstone Sale Certificate has this……………………..........................…..day of……………...............................................

.............................................................been registered in the Register.

……….........................……………………….

Director

Form XXV (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

PERMIT No……………….

ANNUAL OPERATING PERMIT

(*Section 96 of the Mines and Mineral Development Act, No. 7 of 2008)*

Name of Holder………..……………………………………………………………………...............................................................

Address…………………………………………………………………………………….................................................................. The permit relates to the following mining or mineral processing operations………………………………………...............

………………………………………………………………………………………………................................................................

The permit is granted for a period of ……………………commencing on the …………..day of

…………………………………………………………………………..

The conditions of grant of the permit are as shown in the Annexures attached hereto.

Issued at……………………………this ………................………….day of…………………..........................................................

…………….....................………………….

Director of Mines Safety

**ENDORSEMENT OF REGISTRATION**

This Gemstone Sale Certificate has this………………………..................day of……………........................................................

.............................................................been registered in the Register.

..................……………………………….

Director of Mines Safety

Form XXVI (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

PERMIT No……………….

**MINERAL IMPORT PERMIT**

(*Section 106 of the Mines and Mineral Development Act, No. 7 of 2008)*

Importer’s name………..…………………………………………………………………...................................................................

Address……………………………………………………………………………………..................................................................

The permit relates to the following mining or mineral (or as per schedule attached)……………………………………………………………………………..............................................................................

……………………………………………………………………………………………………........................................................ are valued at…………………………......................................................................................………….and being imported from:

……………………………………………………………………………………………....................................................................

……………………………………………………………………………………………....................................................................

The permit is granted for a period of …………………..........................…commencing on the ………….......................................

day of ………………………………………………………………………….......................................................................................

The conditions of grant of the permit are as shown in the Annexures attached hereto.

Issued at……………………….....……this …………...........……….day of…………………....................................

……..................………………………….

Director

**ENDORSEMENT OF REGISTRATION**

This Mineral Import Permit has this………………………...................day of……………..............................................................

......................................................been registered in the Register.

….........................…………………………….

Director

Form XXVII (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

PERMIT No……………….

**MINERAL EXPORT PERMIT**

(*Section 106 of the Mines and Mineral Development Act, No. 7 of 2008)*

Exporter name………..…………………………………………………………………......................................................................

Address……………………………………………………………………………………..................................................................

The permit relates to the following minerals or mineral products (or as per attached schedule)

…………………………………………………………………………………......................................................................................

………………………………………………………………………………………………................................................................ valued at…………............................…………….............................................................................…………….and being exported

to ………………………………………………..............................................................……………………………………………..

The permit is granted for a period of ……………….................……commencing on the …………................................................ day of …......................................…………… and is valid for ………….....................................................…………….shipments. The conditions of grant of the permit are as shown in the Annexures attached hereto.

Issued at……………………….....……this ………….....……….day of………………….........................................

……………………….....................……….

Director

**ENDORSEMENT OF REGISTRATION**

This Mineral Export Permit has this…………………….................…..day of……………..............................................................

......................................................been registered in the Register.

……………………….................……….

Director

Form XXVIII (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

PERMIT No……………….

**PERMIT TO ACQUIRE/STORE/TRANSPORT/SELL/EXPORT RADIOACTIVE MINERALS**

(*Section 108 of the Mines and Mineral Development Act, No. 7 of 2008)*

Name of Permit holder………..……………………………………………………………..........................

Address…………………………………………………………………………………….................................

Radiation Protection Authority Licence No………………………………………………........................

The permit relates to the following mineral products……………………….…………….......................

……………………………………………………………………………………………...................................

valued at………….............................................………………………….and being procured from:

……………………………………………………………………………………………................................... The permit is granted for a period of ………………….....…commencing on the …………................. day of ……………………………………………and is valid for…………....................……………………..

shipment for the purpose of……………………………………………………………............................... The conditions of grant of the permit are as shown in the Annexures attached hereto.

Issued at……………………………this ………………….day of………………….......................

……………………………….

Minister

**ENDORSEMENT OF REGISTRATION**

This Permit has this………………………................day of……………..............................................

.......................................been registered in the Register.

……………………………….

Minister

Form XXVIX (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

PERMIT No……………….

**MINERAL ANALYSIS LABORATORY PERMIT**

(*Section 126 of the Mines and Mineral Development Act, No. 7 of 2008)*

Holder’s name………………………………………………………………………………..............................

Address…………………………………………………………………………………….................................. The permit relates to the following area of mineral analysis competence……………………………...

.....................................................................................................................................................

The permit is granted for a period of…………………..commencing on the ………...........................

day of…………………………………………………………………………………………….......................... The conditions of grant of the permit are as shown in the Annexures attached hereto.

Issued at……………………………this………………………..day of……………………............................

……………………….....……………. Director of Geological Survey

ENDORSEMENT OF REGISTRATION

This Mineral Analysis Laboratory Permit has this …………………..day of……………......................

..........................................been registered in the Register

..........……………………………. Director of Geological Survey

Form XXX (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

CERTIFICATE No……………….

**MINERAL ANALYSIS CERTIFICATE**

(*Section 124 of the Mines and Mineral Development Act, No. 7 of 2008*

Client’s name………………………………………………………………………………...............................

Mining Right or Licence No. (where applicable)………………………………………….........................

Address……………………………………………………………………………………..................................

The certificate relates to mineral or minerals products analysed as follows (or as per attached schedule).

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | | PARAMETERS | | | | | |
| Item No. | Description |  |  |  |  |  |  |
| 1. |  |  |  |  |  |  |  |
| 2. |  |  |  |  |  |  |  |
| 3. |  |  |  |  |  |  |  |
| 4. |  |  |  |  |  |  |  |
| 5. |  |  |  |  |  |  |  |
| 6. |  |  |  |  |  |  |  |

The conditions of grant of the certificate are as shown in the Annexures attached hereto.

Issued at…………………………….this ………………..day of…………………………

.................................................

Director of Geological Survey

**ENDORSEMENT OF REGISTRATION**

This Mineral Analysis Certificate has this …………………..day of…………….................................

……………………………....………….been registered in the Register.

..................……………………………. Director of Geological Survey

Form XXXI (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

CERTIFICATE No……………….

**VALUATION CERTIFICATE**

(*Section 124 of the Mines and Mineral Development Act, No. 7 of 2008)*

Client’s name………………………………………………………………………………...............................

Mining Right or Licence No. (where applicable)………………………………………….........................

Address……………………………………………………………………………………..................................

……………………………………………………………………………………………...................................

The certificate relates to mineral or minerals products value as follows (or as per attached schedule)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Item No. | Description | Grade | Quality | Unit Price | Total Value |
| 1. |  |  |  |  |  |
| 2. |  |  |  |  |  |
| 3 |  |  |  |  |  |
| 4. |  |  |  |  |  |
| 5. |  |  |  |  |  |
| Total |  |  |  |  |  |
|  | | |  |  |  |

The conditions of grant of the certificate are as shown in the Annexures attached hereto.

Issued at………………….this ………………….day of………………………………........

………………………….......………. Director of Geological Survey

**ENDORSEMENT OF REGISTRATION**

This Valuation Certificate has this ……………….........….day of…………………...............................

...............................................been registered in the Register.

.....................................................

Director of Geological Survey

Form XXXII (Regulation 23)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

PERMIT No……….

**ANNUAL GEOLOGICAL/MINING CONSULTANCY PERMIT**

(*Section 126 of the Mines and Mineral Development Act, No. 7 of 2008)*

Holder’s name………………………………………………………………………………..............................

Address…………………………………………………………………………………….................................. The permit relates to the following areas of competence………………………………….....................

………………………………………………………………………………………………................................

The permit is granted for a period of…………………….commencing on the……….......................... day of…………………………….........................................................

The conditions of grant of the permit area as shown in the Annexures attached hereto.

Issued at……………………..this ……………………day of……………………………...............

.......................………………………………………………. Director of Geological Survey/Director of Mines Safety

ENDORSEMENT OF REGISTRATION

This Annual Geological/Mining Consultancy Permit has this………………...........................................................……day of

....................................................……………………been registered in the Register.

...........................……………………………………………. Director of Geological Survey/Director of Mines Safety

### FOURTH SCHEDULE

(Regulation 23(3)

### CONDITIONS OF LICENCES, CERTIFICATES AND PERMITS

* 1. **CONDITIONS OF GRANT OFA LARGE-SCALE MINING LICENCE**
     1. The holder shall—
        1. develop the mining area in accordance with the approved programme of mining operations;
        2. execute the environmental management plan;
        3. implement the local business development programme;
        4. employ and train Zambians in accordance with the approved program;
        5. demarcate the mining area and keep it demarcated;
        6. pay mineral royalty in accordance with the Mines and Minerals Development Act, 2008 and the Income Tax Act, Cap. 232;
        7. maintain at the holder’s office complete and accurate technical and financial records of the mining operations;
        8. permit authorised officer, at any time, to inspect all records, mining and mineral processing operations;
        9. submit statutory reports and any other information concerning the mining or mineral processing operations;
        10. submit a copy of the annual audited financial statement within three months of the end of each financial year;
        11. submit reports on external supplies of ore, concentrates, tailings, slimes or any other mineral fed to the plant.
        12. provide current information on recovery from ores, mineral products, production costs and sales;
        13. conduct operations only upon meeting the requirements of the Environmental Protection and Pollution Control Act, Cap. 204 and upon obtaining an annual operating permit under the Mines and Minerals Development Act, 2008;
        14. contribute to the Environmental Protection Fund as required under the Mines and Mineral Development Act, 2008;
        15. obtain appropriate insurance for all phases of the mining operations;
        16. give preference to Zambia Products and services;
        17. submit a Pegging Certificate for approval within three months of grant of licence; and
        18. comply with the provisions of the Mines and Mineral Development Act, 2008 and other relevant laws of Zambia.

1. No illegal mining and trade is permitted in the licensed area.
2. The holder shall not enter into agreement or transfer of the licence without the prior consent of the Minister.
3. The Holder shall be liable for any harm or damage caused by mining or mineral processing operations and shall compensate any person to whom harm or damage is caused.
4. The holder shall not exercise any rights under the licence without prior consent of legal occupiers of land or local chiefs.
5. Other terms and conditions are as follow:

......................................................................................................................................

### CONDITIONS OF GRANT OF A PROSPECTING LICENCE

1. The holder shall—
   1. pay the relevant taxes under the Income Tax Act, Cap. 323;
   2. promote local business development;
   3. execute the environmental management plan;
   4. employ and train Zambians;
   5. execute the programme of prospecting;
   6. commence the prospecting operations within ninety days of grant of the licence;
   7. give notification of any discover;
   8. give preference to Zambia products and services;
   9. permanently preserve make safe any water boreholes and surrender water rights on expiry of licence;
   10. surrender to Government the drill cores and other mineral samples;
   11. remove, within sixty days of the expiry, cancellation or termination of the prospecting licence, any camp, temporary, building or machinery and repair or make good any damage as required under the Mines and Minerals Development Act, 2008;
   12. keep and preserve such records as the Minister may prescribe in relation to the environment;
   13. submit quarterly reports to the Director of Geological Survey, Director of Mines and Director of Mines Safety in both hard and electronic copies;
   14. keep full and accurate records of the prospecting operations, at the holder’s office;
   15. contribute to the Environmental Protection Fund as required under the Mines and Mineral Development Act, 2008;
   16. obtain appropriate insurance for all phases of its operation;
   17. submit a pegging certificate for approval within three months of grant of the licence; and
   18. comply with the provisions of the Mines and Minerals Development Act, 2008 and other relevant laws of Zambia.
2. The holder shall be liable for any harm or damage caused by the prospecting operations and shall compensate any person to whom any harm or damage is caused.
3. The holder shall not exercise any rights under the licence without prior consent of legal occupiers of land or local chiefs.
4. Other terms and conditions are as follows:

……………………………………………………………………………………..

### CONDITIONS OF GRANT OFA SMALL-SCALE MINING LICENCE

* + 1. A holder shall—
       1. develop the mining area in accordance with the approved programme of mining operation;
       2. execute the environmental management plan;
       3. demarcate the mining area and keep it demarcated;
       4. pay mineral royalty in accordance with the Mines and Minerals Development Act, 2008 and the Income Tax Act, Cap. 232;
       5. maintain at the holder’s office, complete and accurate technical and financial records of mining operations;
       6. permit authorised officers, at any time, to inspect all records, mining or mineral processing operations;
       7. submit statutory reports, records and any other information concerning mining operations;
       8. submit copies of annual audited financial statement within three months of the end of financial year;
       9. submit reports on external supplies or ore, concentrates, tailing slimes or any other mineral fed to the plant;
       10. provide current information on recovery from ore, mineral products, production costs and sales;
       11. conduct operations only upon meeting the requirements under the Environmental Protection and Pollution Control Act, Cap. 204 and upon obtaining an annual operating permit under the Mines and Minerals Development Act, 2008;
       12. contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, 2008;
       13. obtain appropriate insurance for phases of its operations;
       14. submit a Pegging Certificate for approval within three months of grant of licence; and
       15. comply with the provisions of the Mines and Mineral Development Act, 2008 and other relevant laws of Zambia.

1. No illegal mining and trade are permitted in the mining area.
2. The holder shall not enter into any agreements or transfer the licence without the prior consent of the Director.
3. The holder shall be liable for any harm or damage caused by the mineral processing or mining operations and shall compensate any person to whom harm or damage is caused.
4. The holder shall not exercise any rights under the licence without prior consent of legal occupiers of land or local chiefs.
5. Other terms and conditions are as follows:

......................................................................................................................................................

......................................................................................................................................................

### CONDITIONS OF GRANT OFA LARGE SCALE GEMSTONE LICENCE

1. The holder shall—
   1. comply with the plan for cutting, polishing and faceting gemstones in Zambia;
   2. develop the mining area in accordance with approved programme of mining operations;
   3. execute the environmental management plan;
   4. implement the local business development programme;
   5. employ and train Zambians in accordance with the approved program;
   6. demarcate the mining area and keep it demarcated;
   7. pay mineral royalty in accordance with the Mines and Minerals Development Act, 2008 and the Income Tax Act, Cap. 232;
   8. maintain at the holder’s office, complete and accurate technical and financial records of mining operations;
   9. permit authorised officers, at any time, to inspect all records, mining or mineral processing operations;
   10. submit statutory reports, records and any other information concerning mining operations;
   11. submit a copy of annual audited financial statement within three months of the end of financial year;
   12. submit reports on external supplies or ore, concentrates, tailing slimes or any other mineral fed to the plant;
   13. provide current information on recovery from ore, mineral products, production costs and sales;
   14. conduct operations only upon meeting the requirements under the Environmental Protection and Pollution Control Act, Cap. 204 and upon obtaining an annual operating permit under the Mines and Minerals Development Act, 2008;
   15. contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, 2008;
   16. obtain appropriate insurance for all phases of the mining operations;

(p) give preference to Zambian products and services;

1. submit a Pegging Certificate for approval within three months of grant of the licence; and
2. the holder shall comply with the provisions of the Mines and Mineral Development Act, 2008 and other relevant laws of Zambia.
3. No illegal mining and trade is permitted in the licensed area.
4. The holder shall not enter into any agreements or transfer the licence without the prior consent of the Minister.
5. The holder shall be liable for any harm or damage caused by the mineral processing operations and shall compensate any person to whom harm or damage is caused.
6. The holder shall not exercise any rights under the licence without prior consent of legal occupiers of land or local chiefs.
7. Other terms and conditions are as follows:

### ......................................................................................................................................................

* 1. **CONDITIONS OF GRANT OF A MINERAL PROCESSING LICENCE**

1. The holder shall—
   1. pay taxes under the Income Tax Act, Cap. 323;
   2. pay mineral royalty;
   3. commence operations within twelve months of the grant;
   4. execute the approved program of mineral processing operations;
   5. expend not less than the approved amount on mineral processing operations;
   6. submit reports on sources of ore, concentrates, tailing, slimes or any other mineral fed to the plant;
   7. submit mineral and production returns;
   8. execute the environmental management plan;
   9. provide current information on recovery from ores, mineral products, production costs and sales;
   10. conduct operations only upon meeting the requirements of the Environmental Protection and Pollution Control Act, Cap. 204 and upon obtaining an annual operating permit under the Mines and Mineral Development Act, 2008;
   11. contribute to the Environmental Protection Fund as required under the Mines and Mineral Development Act, 2008;
   12. obtain appropriate insurance for all phases of its operations;
   13. submit a pegging certificate for approval within three months of grant of licence; and
   14. comply with the provisions of the Mines and Minerals Development Act, 2008 and other relevant laws of Zambia.
2. The holder shall not enter into any agreements or transfer the licence without the prior consent of the Minister.
3. The holder shall be liable for any harm or damage caused by the mineral processing operations and shall compensate any person to whom harm or damage is caused.
4. The holder shall not exercise any rights under the licence without prior consent of legal occupiers of land or local chiefs.
5. Other terms and conditions are as follows:

......................................................................................................................................

### CONDITIONS OF GRANT OF SMALL-SCALE GEMSTONE LICENCE

1. The holder shall—
   1. develop the mining area in accordance with the approved programme of mining operations;
   2. execute the environmental management plan;
   3. demarcate the mining area and keep it demarcated;
   4. pay mineral royalty in accordance with the Mines and Minerals Development Act, 2008 and the Income Tax Act, Cap. 323;
   5. maintain complete and accurate technical and financial records of mining operations at the holder’s office;
   6. permit authorised officer, at any time, to inspect all records, mining or mineral processing operations;
   7. submit statutory reports, records and any other information concerning mining operations;

(j) submit a copy of annual audited financial statement within three months of the end of financial year;

1. submit reports on external supplies or ore, concentrates, tailing slimes or any other mineral fed to the plant;
2. submit mineral production and labour returns monthly;
3. provide current information on recovery from ore, mineral products, production costs and sales;
4. conduct operations only upon meeting the requirements under the

Environmental Protection and Pollution Control Act, Cap. 204 and upon obtaining an annual operating permit under the Mines and Minerals Development Act, 2008;

1. contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, 2008;
2. obtain appropriate insurance for all phases of the mining operations;
3. submit a Pegging Certificate for approval within three months of grant of the licence; and
4. comply with the provisions of the Mines and Mineral Development Act, 2008 and other relevant laws of Zambia.
5. The holder shall not enter into any agreements or transfer the licence without the prior consent of the Minister.
6. No illegal mining and trade is permitted in the licensed area.
7. The holder shall be liable for any harm or damage caused by the mineral processing operations and shall compensate any person to whom harm or damage is caused.
8. The holder shall not exercise any rights under the licence without prior consent of legal occupiers of land or local chiefs.
9. Other terms and conditions are as follows:

......................................................................................................................................

### CONDITIONS OF GRANT OF AN ARTISAN’S MINING RIGHT

1. The holder shall—
   1. submit labour and mineral production returns;
   2. pay mineral royalty and other charges in accordance with the Mines and Mineral Development Act, 2008;
   3. execute the environmental project brief;
   4. maintain production and financial records at the holder’s office;
   5. provide current information on recovery from ores, mineral products, production costs and sales
   6. conduct operations only upon meeting the requirements under the Environmental Protection and Pollution Control Act, Cap. 204 and upon obtaining an annual operating permit under the Mines and Minerals Development Act, 2008;
   7. contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, 2008;
   8. submit a Pegging Certificate for approval within three months of grant of the licence; and
   9. comply with the provisions of the Mines and Mineral Development Act, 2008 and other relevant laws of Zambia.
2. The holder shall be liable for any harm or damage caused by the mineral processing operations and shall compensate any person to whom harm or damage is caused.
3. The holder shall not exercise any rights under the licence without prior consent of legal occupiers of land or local chiefs.
4. Other terms and conditions are as follows:

......................................................................................................................................

### CONDITIONS OF GRANT OF A PROSPECTING PERMIT

1. The holder shall—
   1. pay the relevant taxes under the Income Tax Act, Cap. 323;
   2. execute the Environmental Management Plan;
   3. execute the programme of prospecting operations;
   4. commence the prospecting operations within ninety days of the grant;
   5. give notification of any discovered minerals or commercial deposits within thirty days of the discovery
   6. give preference to Zambia products and services;
   7. permanently preserve make safe any water boreholes and surrender water rights on expiry of licence;
   8. surrender to Government without compensation, drill cores and any other mineral samples;
   9. remove, within sixty days of the expiry or termination of the prospecting permit, any camp, temporary building or machinery and repair or make good any damage as specified by the Director of Geological Survey;
   10. keep and preserve such records as the Minister may prescribe in relation to the environment;
   11. submit quarterly reports to the Director of Geological Survey, Director of Mines and Director of Mines Safety in both hard and electronic copies;
   12. keep full and accurate records, at the holder’s officer, of the prospecting operations;
   13. contribute to the Environmental Protection Fund as required under the Mines and Mineral Development Act, 2008;
   14. obtain appropriate insurance for all phases of its operation;
   15. submit a pegging certificate for approval within three months of grant of the licence; and
   16. comply with the provisions of the Mines and Minerals Development Act, 2008 and other relevant laws of Zambia.
2. The holder shall be liable for any harm or damage caused by the prospecting operations and shall compensate any person to whom any harm or damage is caused.
3. The holder shall not exercise any rights under the licence without prior consent of legal occupiers of land or local chiefs.
4. Other terms and conditions are as follows:

…………………………………………………………………………………….........

### CONDITIONS OF GRANT OFAN ANNUAL OPERATING PERMIT

* 1. The holder shall apply for renewal of the permit at least sixty days before the expiry of the current permit.
  2. An annual operating permit shall only be valid for the mining or mineral processing operation for which it is granted and shall not be transferable.
  3. Notwithstanding that the permit is granted for a period of one year, the Director of Mines Safety may revoke it any time before its expiry if the Director of Mines Safety is satisfied that the holder’s safety, health and environmental practices are contrary to the Mines and Minerals Development Act, 2008.
  4. The Director of Mines Safety may request the holder to remedy any defaults within a specified period before the grant of the annual operating permit.
  5. The mining operations shall be in compliance with the mining, explosives and environmental regulations.
  6. All employees at scheduled mines shall have valid pneumoconiosis certificates.
  7. The grant of an annual operating permit shall not relieve the holder from safety, health and environmental obligations under the Mines and Minerals Development Act, 2008.
  8. The holder shall comply with the provisions of the Mines and Minerals Development Act, 2008 and other relevant laws of Zambia.
  9. The other terms and conditions are as follows:

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### CONDITIONS OF GRANT OFA MINERALANALYSIS LABORATORY PEMRIT

1. The permit in not transferable to another laboratory.
2. The permit holder shall, at all reasonable times, allow authorised officers to inspect the laboratory.
3. The permit holder shall maintain standards acceptable to the Director of Geological Survey.
4. The permit may be revoked on the occurrence of circumstances which threaten integrity and standards.
5. The laboratory shall conduct mineral analysis operations in a professional and ethical manner and shall not assist any person to falsify analytical results
6. The holder shall comply with the provisions of the Mines and Mineral Development Act 2008 and other relevant law of Zambia.
7. The other terms and conditions are as follows:

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### CONDITIONS OF GRANT OF AN ANNUAL GEOLOGICAL OR MINING CONSULTANCY PERMIT

* 1. The permit in not transferable to another consultancy.
  2. The permit holder shall, at all reasonable times, allow authorised officers to inspect the consultancy records.
  3. The permit holder shall maintain standards acceptable to the Director of Geological Survey and Director of Mines.
  4. The permit may be revoked on the occurrence of circumstances which threaten integrity and standards.
  5. The consultancy shall provide geological or mining technical services in a professional and ethical manner and shall not assist any person to falsify analytical results.
  6. The consultancy shall not use their office or address for communication between a mining right holder and any authorised officers under the Mines and Minerals Development Act, 2008.
  7. The holder shall comply with the provisions of the Mines and Minerals Development Act, 2008 and other relevant laws of Zambia.
  8. The other terms and conditions are as follows:

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### CONDITIONS OF GRANT OF A GEMSTONE SALES CERTIFICATE

1. The holder shall submit monthly purchases and sales returns with the names and addresses of the sellers and purchasers.
2. A holder shall make the records at all times available for inspections by an authorised officer.
3. The holder shall comply with the provisions of the Mines and Minerals Development Act, 2008, and other relevant laws of Zambia.
4. The other terms and conditions are follows:

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### CONDITIONS OF GRANT OFA MINERAL EXPORT PERMIT

* 1. A mineral export permit is not transferable.
  2. A mineral export permit shall not be applicable to radioactive minerals.
  3. The holder shall comply with the provisions of the Mines and Minerals Developments Act, 2008, and other relevant laws of Zambia.
  4. The other terms and conditions are as follows:

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### CONDITIONS OF GRANT OF MINERAL IMPORT PERMIT

* 1. A mineral import permit is not transferable.
  2. A mineral import permit shall not be applicable to radioactive minerals.
  3. The holder shall comply with the provisions of the Mines and Minerals Developments Act, 2008, and other relevant laws of Zambia.
  4. The other terms and conditions are as follows:

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### CONDITIONS OF GRANT OF RADIOACTIVE MINERAL PERMIT

1. The holder shall not transfer the permit.
2. The permit holder shall comply with the requirements of the Ionising Radiation Protection Act, 2005.
3. The acquisition, storage, transportation, sale or export of radioactive minerals or concentrates shall be done in accordance with the Mines and Minerals Development (Prospecting, Mining and Milling of Uranium Ores, and other Radioactive Minerals) Regulations. 2008.
4. The holder shall comply with the provisions of the Mines and Minerals Development Act, 2008 and other relevant laws of Zambia.
5. The other terms and conditions are as follows:

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### CONDITIONS OF GRANT OF A LARGE-SCALE MINING LICENCE FOR RADIOACTIVE MINERAL

1. The holder shall—
   1. comply with the Nuclear-Proliferation Treaty (NPT) requirements;
   2. comply with programmes to assist authorities outside the mining area in planning and preparing to limit the adverse effects of any accidental release of radioactive substances;
   3. comply with the approved training programme for workers in relation to radiation safety;
   4. comply with approved ventilation and dust control methods and associated equipment
   5. comply with approved security measures during processing, storage and transportation;
   6. comply with approved marketing arrangement;
   7. develop the mining area in accordance with the approved programe of mining operation;
   8. execute the environmental management plan;
   9. implement the local business development programme;
   10. employ and train Zambians in accordance with approved program;
   11. demarcate the mining area and keep it demarcated;
   12. pay mineral royalty in accordance with the Mines and Minerals Development Act, 2008 and the Income Tax Act, Cap. 232;
   13. maintain at the holder’s office, complete and accurate technical and financial records of mining operations;
   14. permit authorised officers, at any time, to inspect all records, mining or mineral processing operations;
   15. submit statutory reports, records and any other information concerning mining operations;
   16. submit a copy of annual audited financial statement within three months of the end of financial year;
   17. submit reports on external supplies or ore, concentrates, tailing slimes or any other mineral fed to the plant;
   18. provide current information on recovery from ore, mineral products, production costs and sales;
   19. conduct operations only upon meeting the requirements under the Environmental Protection and Pollution Control Act, Cap. 204 and upon obtaining an annual operating permit under the Mines and Minerals Development Act, 2008;
   20. contribute to the Environmental Protection Fund as required under the Mines and Minerals Development Act, 2008;
   21. obtain appropriate insurance for all phases of the mining operations;
   22. give preference to Zambian products and services;
   23. submit a Pegging Certificate for approval within three months of grant of the licence; and
   24. comply with the provisions of the Mines and Mineral Development Act, 2008 and other relevant laws of Zambia.
2. The holder shall be liable for any harm or damage caused by mining or mineral processing operations and shall compensate any person to whom harm or damage is caused.
3. No illegal mining and trade is permitted in the licensed area
4. The holder shall not enter into agreements or transfer of the licence without the prior consent of the Minister.
5. Other terms and conditions are as follows:

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### CONDITIONS OF GRANT OF AN ABANDONMENT CERTIFICATE

* 1. The abandonment does not relieve the person granted the abandonment certificate of any obligations or liabilities incurred before the grant.
  2. The abandonment certificate is not transferable to another person or mining right area held by the person granted the abandonment certificate.
  3. The holder shall comply with the provisions of the Mines and Minerals Development Act, 2008 and other relevant laws of Zambia.
  4. The other terms and conditions are as follows:

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### CONDITIONS OF GRANT OFA MINERALANALYSIS CERTIFICATE

* 1. The mineral analysis certificate is granted in respect of the mineral commodity anlaysed and shall not be transferable to another consignment or person.
  2. The certificate shall be admissible in the assessment of mineral royalty.
  3. The holder shall comply with the provisions of the Mines and Minerals Development Act, 2008 and other relevant laws of Zambia.
  4. The other terms and conditions are as follows:

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### CONDITIONS OF GRANT OF A VALUATION CERTIFICATE

1. The mineral analysis certificate is granted in respect of the mineral commodity anlaysed and shall not be transferable to another consignment or person.
2. The certificate shall be admissible in the assessment of mineral royalty.
3. The holder shall comply with the provisions of the Mines and Minerals Development Act, 2008 and other relevant laws of Zambia.
4. The other terms and conditions are as follows:

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**FIFTH SCHEDULE**

(Regulations 24, 25, 26, 31(1), 34(1), 26, 37 and 38)

Form XXXIII (Regulation 24)

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

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| **APPLICATION TO AMEND A MINING RIGHT OR MINERAL PROCES** | | | | | | | | | | | | | | **SING LICENCE** | | | | | |
|  | | Shaded fields for official use only | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | | | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | / |
| 1 | Type of Mining Right and Licence No. |  | | | | | | | | | | | | | | | | |  |
| 2 | Holder |  | | | | | | | | | | | | | | | | |  |
| 3 | Expiry date |  | | | | | | | | | | | | | | | | |  |
| 4 | 1. Names(s) of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | |  |
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| Individual | | |  | Company | | |  | Co-operative | | |  | Partnership | | |  |  |
| 5 | 1. Date of Birth (dd/mm/yyyy) 2. Nationality 3. Identity card (NRC No. or Passport No. – (attach copies) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| 6 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | |  |
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| 7 | (a) Company Registration No. (attach copies of certificate |  | | | | | | | | | | | | | | | | |  |

(b) Shareholders

Shareholder

Shares held

Nationality

NRC/Passport No. attach copies

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(c) Directors

Directror

Shares held

Nationality

NRC/Passport No.

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1. Compamy Chief Executive Officer
2. Company Secretary

Name

Nationality

Name

Nationality

8

Proposed amendments

(a)

(b)

(c)

(d)

(e)

(f)

9

Appendices

Appendix No. 1

Justification for proposed amendments.

Appendix No. 2

Records of company board meeting and resolutions.

Appendix No. 3

Commitment plan to review the environmental management plan

Application fee

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form XXXIV (Regulation 25)

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

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| **NOTIFICATION OF CHANGE IN PARTICULARS** | | | | | | | | | | | | | |  | | | | | |
|  | | Shaded fields for official use only | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | | | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | / |
| 1 | Mining Right or Licence No. |  | | | | | | | | | | | | | | | | |  |
| 2 | Holder |  | | | | | | | | | | | | | | | | |  |
| 3 | 1. Names(s) of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | |  |
| Individual | | |  | Company | | |  | Co-operative | | |  | Partnership | | |  |  |
| 4 | 1. Date of Birth   (dd/mm/yyyy)   1. Nationality 2. Identity card (NRC No. or Passport No. – (attach copies) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| 5 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | |  |
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| 6 | (a) Company Registration No. (attach copies of certificate |  | | | | | | | | | | | | | | | | |  |
|  | (b) Shareholders | NRC/Passport No.  Shareholder Shares held Nationality attach copies | | | | | | | | | | | | | | | | |  |
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| (c) Directors | | Directror | | Shares held | Nationality | | NRC/Passport No. | |  |
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| 1. Compamy Chief Executive Officer 2. Company Secretary | | Name |  | | Nationality |  | | |  |
| Name |  | | Nationality |  | | |  |
| 8 | Proposed amendments |  | | | | | | | |  |
| (a) | | | | | | | |
| (b) | | | | | | | |
| (c) | | | | | | | |
| (d) | | | | | | | |
| (e) | | | | | | | |
| (f) | | | | | | | |
| 9 | Appendices (here attach relevant documents rendering change in particulars) | | | | | | | |  | |

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form XXXV (Regulation 26)

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

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| **APPLICATION FOR RENEWAL OF A MINING RIGHT** | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | |  | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | / |
| 1 | Mining Right No. |  | | | | | | | | | | | | | | | | |  |
| 2 | Minerals |  | | | | | | | | | | | | | | | | |  |
| 3 | Renewal period |  | | | | | | | | | | | | | | | | |  |
| 4 | 1. Names(s) of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | |
| Individual | | |  | Company | | |  | Co-operative | | |  | Partnership | | |  |  |
| 5 | 1. Date of Birth (dd/mm/yyyy) 2. Nationality 3. Identity card (NRC No. or Passport No. – (attach copies) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| 6 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | |  |
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|  | | | | | | | | | | | | | | | | |  |
| 7 | (a) Company Registration No. Attach copies of certificate |  | | | | | | | | | | | | | | | | |  |
| Signature of Applicant (individual or authorised company representative) | | | | | | | | | | | | | | | | | | | |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | (b) Shareholders | Shareholder | | Shares held | | Nationality | NRC/Passport No. | |  |
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| (c) Directors | Directror | | Shares held | | Nationality | NRC/Passport No. | |  |
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| (d) Compamy Chief Executive  Officer | Name |  | | | Nationality |  | |  |
| (e) Company Secretary | Name |  | | | Nationality |  | |  |
| 8 | Current Mining Rights or licences in Zambia, if any, by applicant | Mining Right or Licence (Type & licence No.) | | | Location | | | Area (Km2) |  |
| (a) | | | (a) | |  | (a) |  |
| (b) | | | (b) | |  | (b) |
| (c) | | | (c) | |  | (c) |
| (d) | | | (d) | |  | (d) |
| (e) | | | (e) | |  | (e) |
| (f) | | | (f) | |  | (f) |
| 9 | Previously held mining rights  or licences in Zambia, if any, by applicant | Mining Right or Licence  (Type & licence No.)  (a)  (b)  (c)  (d)  (e)  (f) | | | Location  (a)  (b)  (c)  (d)  (e)  (f) | | | Area (Km2)  (a)  (b)  (c)  (d)  (e)  (f) |  |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 10 | Currently held mining rights or licences in Zambia, if any, by subsidiary companies | Mining Right or Licence (Type & licence No.)  ( a )  ( b)  ( c )  ( d)  ( e )  ( f ) | | | | Location  (a)  (b)  (c)  (d)  (e)  (f) | | | Area (Km2)  (a)  (b)  (c)  (d)  (e)  (f) |  |
| 11 | Currently held mining rights or licences in other countries by applicant | Mining Right or Licence (Type & licence No.)  ( a )  ( b)  ( c )  ( d)  ( e )  ( f ) | | | | Location  (a)  (b)  (c)  (d)  (e)  (f) | | | Area (Km2)  (a)  (b)  (c)  (d)  (e)  (f) |
| 12 | (a) Have you been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and Minerals Act (Cap. 213), the Mines and Minerals Development Act, No. 7 of 2008 or any other law within or outside Zanbia?  If yes, specify details.............................................................................................................................................................  Nature of offence:.................................................................................................................................................................  Date of Commission:.............................................................................................................................................................  Sentence:................................................................................................................................................................................ | | | | | | | | |  |
| 13 | Have you ever applied for a mining right or licence in Zambia? If yes, please give details  ................................................................................................................................................................................................. | | | | | | | | |  |
|  | Mining right or licence applied for | | | Location | | Area Km2 | | Date of application | |  |
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| 14 | Area applied for renewal (all coordinates in exact multiples of 6 seconds, latitude/longtude format, Degree-Minute-Second format,  Arc 1950 | | | | | | | | |  |
| (a) Location | | Province | |  | | District |  | |  |
| Locality | |  | | | | |  |
| (b) Size (in Sq. Km)  \*Prospecting licence area- compulsory relinquishment of at least 50% or original area  \*large-scale Mining licence area- not exceeding 250km²  \*large-scale Gemstone licence area not exceeding 250km²  \*Small-scale mining licence area- not exceeding 4km²\*  Small-scale Gemstone licence area not exceeding 4km²  \* Prospecting permit area-not exceeding 10km²  \*Artisan’s mining right area-not exceeding 0.0668km²  (c) Coordinates | | Latitude (S) Longtitude(E) | | | | | | |  |

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|  | (c) Coordinates | | | Latitude (S) Longtitude(E) | | | | | | | | | | | | | |  |
| Corner Corner Corner Corner | |  | D | D | M | M | S | S | D | | D | M | M | | S | S |  |
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| Corner Corner Corner Corner Corner | | 5 |  |  |  |  |  |  |  | |  |  |  | |  |  |  |
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| 15 | Appendices | | | | | | | | | | | | | | | | |  |
| (a) Propsecting Licence | | | | | | | | | | | | | | | | | |  |
|  | Appendix No. 1 | Report on execution of approved programme of prospecting operations including results in digital format on work done | | | | | | | | |  | | | |  | | |  |
| Appendix No. 2 | Environmental Management Plan  (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment) | | | | | | | | |  | | | |  | | |  |
| Appendix No. 3 | Proposed programme of prospecting operations for renewal period (should include an indication of the investment  commitment) | | | | | | | | |  | | | |  | | |  |
| Appendix No. 4 | Proposals for employment and training of citizens of Zambia | | | | | | | | |  | | | |  | | |  |
| Appendix No. 5 | Proposals for promotion of local business development | | | | | | | | |  | | | |  | | |  |
| Appendix No. 6 | Tax clearance certificate issued under Income Tax Act (Cap. 323) | | | | | | | | |  | | | |  | | |  |
| Appendix No. 7 | Any further information which the Director of Geological Survey may require | | | | | | | | |  | | | |  | | |  |
| (b) Large-Scale Mining Licence | | | | | | | | | | | | | | | | | |  |
|  | Appendix No. 1 | Report on execution of aproved programme of prospecting operations | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 2 | A latest statement of proved, estimated and inferred mineral deposits in the area over which licence is sought (should include details of all known minerals proved, estimated or inferred, ore resources and mining conditions, expected changes in mining and treatment methods, expected change in mining activities and estimated life of a mine. | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 3 | Proposed programme for mining opeations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore amd minerals recovered) | | | | | | | | |  | | | |  | | |  |
|  | Appendix No. 4 | Environmental Management Plan (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment) | | | | | | | | |  | | | |  | | |  |

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|  | Appendix No. 5 | Details of expected infrastructure requirements. |  |  |  |
|  | Appendix No. 6 | Proposals for employment and training of citizens of Zambia. |  |  |  |
|  | Appendix No. 7 | Proposals for promotion of local business development. |  |  |  |
|  | Appendix No. 8 | Tax clearance certificate issued under Income Tax Act, Cap. 323) |  |  |  |
|  | Appendix No. 9 | Plan of proposal mining area during renewal period. |  |  |  |
|  | Appendix No. 10 | Execution report of plan for cutting, polishing and faceting of gemstone in Zambia during previous tenure, plan for cutting, polishing and faceting gemstones in Zambia during renewal period. |  |  |  |
|  | Appendix No. 11 | Any further information which the Director of Geological Survey may require. |  |  |  |
| (c) Large-Scale Gemstone Licence | | | | |  |
|  | Appendix No. 1 | Report on execution of approved programme of prospecting operations. |  |  |  |
|  | Appendix No. 2 | A latest statement of proved, estimated and inferred mineral deposits in the area over which licence is sought (should include details of al known minerals proved, estimated or inferred, ore resources and mining conditions, expected changes in mining and treatment methods, expected change in mining activities and estimated life of a mine. |  |  |  |
|  | Appendix No. 3 | Proposed programme for propspecting and mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and mineral recovered). |  |  |  |
|  | Appendix No. 4 | Environmental Management Plan (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimizing adverse effects on the environment). |  |  |  |
|  | Appendix No. 5 | Details of expected infrastructure requirements. |  |  |  |
|  | Appendix No. 6 | Proposals for employment and training of citizens of Zambia. |  |  |  |
|  | Appendix No. 7 | Proposals for promotion of local business development. |  |  |  |
|  | Appendix No. 8 | Tax clearance certificate issued under Income Tax Act, Cap. 323) |  |  |  |
|  | Appendix No. 9 | Plan of proposal mining area during renewal period. |  |  |  |
|  | Appendix No. 10 | Execution report of plan for cutting, polishing and faceting of gemstone in Zambia during previous tenure, plan for cutting polishing and faceting gemstones in Zambia during renewal period. |  |  |  |
|  | Appendix No. 11 | Any further information which the Director of Geological Survey may require. |  |  |  |
| (d) Small-Scale Mining Licence | | | | |  |
|  | Appendix No. 1 | Report on the development of a mining area during previous  tenure. |  |  |  |
|  | Appendix No. 2 | Proposed programme of mining operation (should include forecast of investment, estimated recovery rate of ore and applicants proposal for treatment and disposal of ore) |  |  |  |
|  | Appendix No. 3 | Description of the mineral deposits in area over which licence renewal is sought. |  |  |  |
|  | Appendix No. 4 | Statement of duration for which licence is sought (should not exceed ten years). |  |  |  |
|  | Appendix No. 5 | Tax clearance certificated issued under Income Tax Act, Cap. 323). |  |  |  |
|  | Appendix No. 6 | Any further information which Director may require. |  |  |  |
| (e) Small-Scale Gemstone Licence | | | | |  |
|  | Appendix No. 1 | Report on the development of a mining area during previous tenure. |  |  |  |

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|  | Appendix No. 2 | Proposed programme of mining operation (should include forecast of investment, estimated recovery rate of ore and gemstones) |  |  |  |
|  | Appendix No. 3 | Statement of gemstone deposits in area over which licence is sought. |  |  |  |
|  | Appendix No. 4 | Tax clearance certificated issued under Income Tax Act, Cap. 323). |  |  |  |
|  | Appendix No. 5 | Any further information which Director may require. |  |  |  |
| (f) Artisan’s Mining right (by citizens of Zambia) | | | | |  |
|  | Appendix No. 1 | Report on the development of a mining area during previous tenure. |  |  |  |
|  | Appendix No. 2 | Environmental Commitment Plan |  |  |  |
|  | Appendix No. 3 | Programme of intended mining operations (should include proposals for the proper conversation and use of mineral resources in mining area, in national interest. |  |  |  |
| Application fee | | | | | |

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

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Form XXXVI (Regulation 26 (2))

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

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| **APPLICATION FOR RENEWAL OF A LARGE-SCALE MINING LICENCE IN RESPECT OF RADIOACTIVE MINERALS** | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | |  | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | / |
| 1 | Mining Right No. |  | | | | | | | | | | | | | | | | |  |
| 2 | Minerals |  | | | | | | | | | | | | | | | | |  |
| 3 | Renewal period |  | | | | | | | | | | | | | | | | |  |
| 4 | 1. Names(s) of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | |
| Individual | | |  | Company | | |  | Co-operative | | |  | Partnership | | |  |  |
| 5 | 1. Date of Birth (dd/mm/yyyy) 2. Nationality 3. Identity card (NRC No. or Passport No. – (attach copies) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | | | | | | | | | | | | | | | | |
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| 6 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | |  |
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| 7 | (a) Company Registration No. Attach copies of certificate |  | | | | | | | | | | | | | | | | |  |
| Signature of Applicant (individual or authorised company representative) | | | | | | | | | | | | | | | | | | | |

(b) Shareholders

Shareholder

Shares held

Nationality

NRC/Passport No. attach copies

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(c) Directors

Directror

Shares held

Nationality

NRC/Passport No.

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(d) Compamy Chief Executive Officer

Name

Nationality

(e) Company Secretary

Name

Nationality

8

Current Mining Rights or licences in Zambia, if any, by applicant

Mining Right or Licence (Type & licence No.)

Location

Area (Km2)

(a)

(b)

(c)

(d)

(e)

(f)

(a)

(b)

(c)

(d)

(e)

(f)

(a)

(b)

(c)

(d)

(e)

(f)

9

Previously held mining rights licences in Zambia, if any,

by applicant

Mining Right or Licence

(Type & licence No.)

(a)

(b)

(c)

(d)

(e)

(f)

Location

(a)

(b)

(c)

(d)

(e)

(f)

Area (Km2)

(a)

(b)

(c)

(d)

(e)

(f)

10

Currently held mining rights or licences in Zambia, if any, by subsidiary companies

Mining Right or Licence (Type & licence No.)

(a)

Location

(a)

Area (Km2)

(a)

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|  |  | ( b)  ( c )  ( d)  ( e )  ( f ) | | | | | | (b)  (c)  (d)  (e)  (f) | | | | | | | | | (b)  (c)  (d)  (e)  (f) | | |  |
| 11 | Currently held mining rights or licences in other countries by applicant | Mining Right or Licence (Type & licence No.)  ( a )  ( b)  ( c )  ( d)  ( e )  ( f ) | | | | | | Location  (a)  (b)  (c)  (d)  (e)  (f) | | | | | | | | | Area (Km2)  (a)  (b)  (c)  (d)  (e)  (f) | | |
| 12 | (a) Have you been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and Minerals Act (Cap. 213), the Mines and Minerals Development Act, No. 7 of 2008 or any other law within or outside Zanbia?  If yes, specify details.............................................................................................................................................................  Nature of offence:.................................................................................................................................................................  Date of Commission:.............................................................................................................................................................  Sentence:................................................................................................................................................................................ | | | | | | | | | | | | | | | | | | |  |
| 13 | Have you ever applied for a mining right or licence in Zambia? If yes, please give details Status of application o Granted o Rejected o Pending | | | | | | | | | | | | | | | | | | |  |
|  | Mining right or licence applied for | | | Location | | | | Area Km2 | | | | | | Date of application | | | | | |  |
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| 14 | Area applied for (all coordinates in exact multiples of 6 seconds, latitude/longtude format, Degree-Minute-Second format, Arc 1950 | | | | | | | | | | | | | | | | | | | |
| 1. Location 2. Size (in Sq. Km.)   \*Large-scale Mining Licence area- not exceeding 250km2 | | | Province | |  | | | | District | | |  | | | | | | |  |
| Locality | |  | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | |  |
| (c) Coordinates | | | Latitude (S) | | | | | | | | Longtitude(E) | | | | | | | |  |
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| 15 Appendices | | | | | | | | | | | | | | | | | | | |  |
| A comprehensive statement of the mineral deposits in the area  Appendix No. 1 over which the licence is sought during renewal period (should | | | | | | | | | | | | |  | | | |  | | |  |

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|  |  | include details of all known minerals proved, estimated or inferred, ore resources and mining conditions). |  |  |  |
|  | Appendix No. 2 | Proposed programme for mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and mineral recovered). |  |  |  |
|  | Appendix No. 3 | Report on execution of Environmental Management Plan in  accordance with the requirements of the Environmental Protection and Pollution Control Act. |  |  |  |
|  | Appendix No. 4 | Details of expected infrastructure requirements. |  |  |  |
|  | Appendix No. 5 | Proposals for employment and training of citizens of Zambia. |  |  |  |
|  | Appendix No. 6 | Proposal for promotion of local business development. |  |  |  |
|  | Appendix No. 7 | Tax clearance certificate issued under Income Tax Act (Cap. 323) |  |  |  |
|  | Appendix No. 8 | Plan of proposed mining area during renewal period. |  |  |  |
|  | Appendix No. 10 | Plan and description of mine, processing facilities and  proposed disposal sites. |  |  |  |
|  | Appendix No. 11 | Copy of licence issued under the Ionizing Radiation Protection Act. |  |  |  |
|  | Appendix No. 12 | Programme to educate persons in the vicinity of the mine or plant on safety, health and the environment al in relation to radiation and report on previous programme. |  |  |  |
|  | Appendix No. 13 | Programme to assist authority outside the mining area in planning and preparing to limit adverse effects of radiation |  |  |  |
|  | Appendix No. 14 | Proposed programme for selecting, using and maintenance of  personal protective equipment and report on previous programme. |  |  |  |
|  | Appendix No. 15 | Proposed training programme for workers in relation to radiation safety and report on previous programme. |  |  |  |
|  | Appendix No. 16 | Proposed ventilation and dust control methods and associated equipment |  |  |  |
|  | Appendix No. 17 | Proposed security measures during mining, processing storage, transportation (including measure to alert the holder of acts of sabotage. |  |  |  |
|  | Appendix No. 18 | Storage, transportation and marketing arrangements in conformity with the Nuclear Non-Proliferation Treaty (NPT). |  |  |  |
|  | Appendix No. 19 | Any further information which the Director may require. |  |  |  |
| Application Fee | | | | |  |

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form XXXVII (Regulation 26(3))

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

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| **APPLICATION FOR RENEWALOF A MINERAL PROCESSING LICENCE** | | | | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | | | Licence code | | | | |  | | | | | | |
| Date and Time | | | | |  | | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | | | | / |
| 1 | Licence No. |  | | | | | | | | | | | | | | | | | | | |  |
| 2 | Minerals |  | | | | | | | | | | | | | | | | | | | |  |
| 3 | Renewal period |  | | | | | | | | | | | | | | | | | | | |  |
| 4 | 1. Names(s) of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | | | |
| Individual | | | |  | Company | | | |  | Co-operative | | |  | Partnership | | | |  |  |
| 5 | 1. Date of Birth   (dd/mm/yyyy)   1. Nationality 2. Identity card (NRC No. or Passport No. |  | |  |  |  |  | |  |  |  |  |  |  |  |  | |  |  |  |  |  |
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| 6 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | | | | |  |
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| 7 | (a) Company Registration No. (attach copies of certificate of registration issued under the Companies Act) |  | | | | | | | | | | | | | | | | | | | |  |
|  | (b) Shareholders  . | | Shareholder | | | | | Shares held | | | | | Nationality | | | | NRC/Passport No. | | | | |  |
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(c) Directors Director Share held Nationality NRC/Passport No.

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| (c) Directors | Directror | | Shares held | | Nationality | | NRC/Passport No. | |  |
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| 1. Compamy Chief Executive Officer 2. Company Secretary | Name |  | | | Nationality |  | | |  |
| Name |  | | | Nationality |  | | |  |
| 8 | Current Mining Rights or licences in Zambia, if any, by applicant | Mining Right or Licence | | | Location | |  | Area (Km2) | |  |
| (Type & licence No.) | | |  | |  | |  |
| (a) | | | (a) | |  | | (a) |
| (b) | | | (b) | |  | | (b) |
| (c) | | | (c) | |  | | (c) |
| (d) | | | (d) | |  | | (d) |
| (e) | | | (e) | |  | | (e) |
| (f) | | | (f) | |  | | (f) |
| 9 | Previously held mining rights licences in Zambia, if any, by applicant | Mining Right or Licence (Type & licence No.)  (a)  (b)  (c)  (d)  (e)  (f) | | | Location  (a)  (b)  (c)  (d)  (e)  (f) | | | | Area (Km2)  (a)  (b)  (c)  (d)  (e)  (f) |  |
| 10 | Currently held mining rights or licences in Zambia, if any, by subsidiary companies | Mining Right or Licence (Type & licence No.)  (a)  (b)  (c)  (d)  (e)  (f) | | | Location  (a)  (b)  (c)  (d)  (e)  (f) | | | | Area (Km2)  (a)  (b)  (c)  (d)  (e)  (f) |  |

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| 11 | Currently held mining rights Mining Right or Licence Location Area (Km2) or licences in other countries (Type & licence No.)  by applicant  ( a ) (a) (a)  ( b) (b) (b)  ( c ) (c) (c)  ( d) (d) (d)  ( e ) (e) (e)  ( f ) (f) (f) | | | | | | | | | | | | | | | | | |  | |
| 12 | (a) Have you been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and Minerals Act (Cap. 213), the Mines and Minerals Development Act, No. 7 of 2008 or any other law within or outside Zanbia?  If yes, specify details: Nature of offence: Date of Commission: Sentence: | | | | | | | | | | | | | | | | | |  | |
| 13 | Have you ever applied for a mining right or | | | licence in Zambia? If | | | | yes, please give details | | | | | | | | | | |  | |
|  | Mining right or licence applied for | | | Location | | | | Area Km2 | | | | Date of application | | | | | | |  | |
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| 14 | Area applied for (all coordinates in exact multiples of 6 seconds, latitude/longtude format, Degree-Minute-Second format, Arc 1950 | | | | | | | | | | | | | | | | | | | |
| (a) Location | | | Province Locality | | | |  | | | District | | | |  | | |  |  |  |
| (b) Coordinates | | | Latitude (S) | | | |  | | | Longtitude(E) | | | |  | | |  |  |  |
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| 15 | Appendices | | | | | | | | | | | | | | | | | | |  |
| Appendix No. 1 | Description and plan surrounding developments | | | | | | | | | |  | | | |  | | | |  |
|  | Appendix No. 2 | Proposed programme for mineral processing operations for renewal period (should include a forecast of capital investment, proposed plant capacity, the estimated recovery rate of mineral, | | | | | | | | | |  | | | |  | | | |  |

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|  |  | products, and the proposed treatment methods and disposal of ore and mineral). |  |
|  | Appendix No. 3 | Report on execution of Environmental Management Plan (in  accordance with the requirements of the Environmental Protection and Pollution Control Act. |  |
|  | Appendix No. 4 | Details of expected infrastructure requirements during renewal period. |  |
|  | Appendix No. 5 | Proposals for employment and training of citizens of Zambia during renewal period. |  |
|  | Appendix No. 6 | Tax clearance certificate (issued under Income Tax Act, (Cap. 323). |  |
|  | Appendix No. 7 | Any further information which Director of Geological Survey may require. |  |
| Application Fee | | | |

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form XXXVIII

(Regulation 31 (1)) (To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| **APPLICATION TO ALTER AREA UNDER A MINING RIGHT** | | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | |  | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | | / |
| 1 | Mining Right or licence No. |  | | | | | | | | | | | | | | | | | |  |
| 2 | Reason for alteration (attach |  | | | | | | | | | | | | | | | | | |  |
|  | additional sheet if necessary) |  | | | | | | | | | | | | | | | | | |  |
| 3 | 1. Names(s) of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | |
| Individual | | |  | Company | | |  | | Co-operative | | |  | Partnership | | |  |  |
| 4 | 1. Date of Birth   (dd/mm/yyyy)   1. Nationality 2. Identity card (Nationl Registration Card No. or Passport No. – (attach copies) |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |
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| 5 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | | |  |
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| 6 | (a) Company Registration No. (attach copies of registration certificate issued under the Companies Act, Cap. 388) |  | | | | | | | | | | | | | | | | | |  |

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|  | (b) Shareholders – (attach copies of identity cards and companies Form 2 issued under the Companies Act, Cap. 388) | Shareholder | | Shares held | | Nationality | NRC/Passport No. | |  |
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| (c) Directors | Directror | | Shares held | | Nationality | NRC/Passport No. | |  |
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| (d) Compamy Chief Executive Officer | Name |  | | | Nationality |  | |  |
| (e) Company Secretary | Name |  | | | Nationality |  | |  |
| 7 | Mineral(s) |  | | | | | | |  |
| 8 | Current Mining Rights or licences in Zambia, if any, by applicant | Mining Right or Licence (Type & licence No.)  (a)  (b)  (c) | | | Country and Location  (a)  (b)  (c) | | | Area (Km2)  (a)  (b)  (c) |  |
|  |  | (d)  (e)  (f) | | | (d)  (e)  (f) | | | (d)  (e)  (f) |  |

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| 9 | Previously held mining rights licences in Zambia, if any, by applicant | Mining Right or Licence (Type & licence No.) | | | Country and Location | | | | Area (Km2) |  |
| ( a ) | | | (a) | | | | (a) |  |
| ( b) | | | (b) | | | | (b) |
| ( c ) | | | (c) | | | | (c) |
| ( d) | | | (d) | | | | (d) |
| ( e ) | | | (e) | | | | (e) |
| ( f ) | | | (f) | | | | (f) |
| 10 | Previously held mining rights or licences in Zambia, if any, by subsidiary companies | Mining Right or Licence (Type & licence No.) | | | Country and Location | | | | Area (Km2) |  |
| ( a ) | | | (a) | | | | (a) |
| ( b) | | | (b) | | | | (b) |
| ( c ) | | | (c) | | | | (c) |
| ( d) | | | (d) | | | | (d) |
| ( e ) | | | (e) | | | | (e) |
| ( f ) | | | (f) | | | | (f) |
| 11 | Currently held mining rights or licences in other countries by applicant | Mining Right or Licence (Type & licence No.) | | | Country and Location | | | | Area (Km2) |  |
| ( a ) | | | (a) | | | | (a) |
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| ( f ) | | | (f) | | | | (f) |
| 12 | (a) Have you been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and | | | | | | | | |  |
|  | Minerals Development Act No. 7 of 2008, or any other law within or outside Zanbia? | | | | | | | | |
|  | If Yes, specify details............................................................................................................................................................. | | | | | | | | |
|  | Nature of offence:................................................................................................................................................................. | | | | | | | | |
|  | Date of Conviction:................................................................................................................................................................ | | | | | | | | |
|  | Sentence:................................................................................................................................................................................ | | | | | | | | |
|  | (b) Have you been declared bankrupt, or if a company, have you been in liquidation? | | | | | | | | |
|  | If yes, specify details............................................................................................................................................................. | | | | | | | | |
|  | ................................................................................................................................................................................................. | | | | | | | | |
| 13 | Have you ever applied for a mining right or licence in Zambia? If yes, please give details?...................................................... | | | | | | | | |  |
|  | Mining right or licence applied for | | Location | | Area Km2 | | | Date of application | |  |
|  | |  | |  | | |  | |
|  | |  | |  | | |  | |
|  | |  | |  | | |  | |
| Status of application o Grant o Rejection o Pending | | | | | | | | | |  |
| 14 | Area applied for (all coordinates in exact multiples of 6 seconds, latitude/longtude format, Degree-Minute-Second format, Arc 1950 | | | | | | | | |  |
| (a) Location | | Province |  | | District |  | | |  |
| Locality |  | | | | | |  |

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|  | (b) Size of current area (in Sq.  Km) | |  | | | | | | | | | | | |  |
| (c) Coordinates  (Use additional sheet to indicate coordinates if required) | | Latitude (S) Longtitude(E) | | | | | | | | | | | |  |
| Corner Corner Corner Corner |  | D | D | M | M | S | S | D | D | M | M | S | S |  |
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| Corner Corner Corner Corner Corner Corner Corner Corner | 5 |  |  |  |  |  |  |  |  |  |  |  |  |
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| 12 |  |  |  |  |  |  |  |  |  |  |  |  |
|  | (d) Area proposed for  alternation (in sq. km) |  |  | | | | | |  | | | | | |  |
|  | (e) Coordinates of altered  area |  | Latitude (S) | | | | | | Longtitude(E) | | | | | |  |
|  |  |  | D | D | M | M | S | S | D | D | M | M | S | S |  |
| Corner | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Corner | 2 |  |  |  |  |  |  |  |  |  |  |  |  |
| Corner | 3 |  |  |  |  |  |  |  |  |  |  |  |  |
| Corner | 4 |  |  |  |  |  |  |  |  |  |  |  |  |
| Corner | 5 |  |  |  |  |  |  |  |  |  |  |  |  |
| Corner | 6 |  |  |  |  |  |  |  |  |  |  |  |  |
| Corner | 7 |  |  |  |  |  |  |  |  |  |  |  |  |
| Corner | 8 |  |  |  |  |  |  |  |  |  |  |  |  |
| Corner | 9 |  |  |  |  |  |  |  |  |  |  |  |  |
| Corner | 10 |  |  |  |  |  |  |  |  |  |  |  |  |
| Corner | 11 |  |  |  |  |  |  |  |  |  |  |  |  |

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|  | Corner | | 12 |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 15 | Appendices (Adjust proposals, plans, programs in accordance with proposed area alternation) | | | | | | | | | | | | | | | |
| (a) Prospecting Licences | | | | | | | | | | | | | | | | |
|  | Appendix No. 1 | Environmental Commitment Plan  (should include proposals for prevention of pollution, treatment of waste, production and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment. | | | | | | | |  | | |  | | |  |
| Appendix No. 2 | Proposal programme of prospecting operations (should include an indication of the investment commitment) | | | | | | | |  | | |  | | |  |
| Appendix No. 3 | Proposals for employment and training of citizens of Zambia. | | | | | | | |  | | |  | | |  |
| Appendix No. 4 | Proposals for promotion of local business development. | | | | | | | |  | | |  | | |  |
| Appendix No. 5 | Tax clearance certificate issued under Income Tax Act (Cap. 323). | | | | | | | |  | | |  | | |  |
| Appendix No. 6 | Any further information which Director of Geological Survey may require. | | | | | | | |  | | |  | | |  |
| **(b) Large-Scale Mining Licence** | | | | | | | | | | | | | | | | |
|  | Appendix No. 1 | A comprehensive statement of the mineral deposits in the area  over which the licence is sought (should include details of all known minerals proved, estimated or inferred, ore resources and mining conditions) | | | | | | | |  | | |  | | |  |
| Appendix No. 2 | Proposed programme for (\*propspecting) and mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and mineral  recovered). | | | | | | | |  | | |  | | |  |
| Appendix No. 3 | Environmental Management Plan  (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimizing adverse effects on the environment). | | | | | | | |  | | |  | | |  |
| Appendix No. 4 | Details of expected infrastructure requirements | | | | | | | |  | | |  | | |  |
| Appendix No. 5 | Proposals for employment and training of citizens of Zambia. | | | | | | | |  | | |  | | |  |
| Appendix No. 6 | Proposals for promotion of local business development. | | | | | | | |  | | |  | | |  |
| Appendix No. 7 | Tax clearance certificate issued under Income Tax Act, Cap. 323) | | | | | | | |  | | |  | | |  |
| Appendix No. 8 | Plan of proposal mining area | | | | | | | |  | | |  | | |  |
| Appendix No. 9 | Any further information which the Director may require. | | | | | | | |  | | |  | | |  |
| **(c) Large-Scale Gemstone Licence** | | | | | | | | | | | | | | | | |
|  | Appendix No. 1 | Comprehensive statement of the mineral deposits or  probable deposits in the area over which the licence is sought (should include details of all known mineral proved, estimated or inferred, ore resources and mining conditions) | | | | | | | |  | | |  | | |  |
| Appendix No. 2 | Proposed program for prospecting and mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and mineral recovered). | | | | | | | |  | | |  | | |  |
| Appendix No. 3 | Environmental Management Plan  (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment. | | | | | | | |  | | |  | | |  |
| Appendix No. 4 | Details of expected infrastructure requirements. | | | | | | | |  | | |  | | |  |
| Appendix No. 5 | Proposal for employment and training of citizens of Zambia. | | | | | | | |  | | |  | | |  |

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|  | Appendix No. 6 | Proposals for promotion of local business development. |  |  |  |
|  | Appendix No. 7 | Tax clearance certificate (issued under Income Tax Act, Cap. 323) |  |  |  |
|  | Appendix No. 8 | Plan of proposed mining area. |  |  |  |
|  | Appendix No. 9 | Any further information |  |  |  |
|  | Appendix No. 10 | Any further information which the Director may require. |  |  |  |
| **(d) Prospecting Permit** | | | | |  |
|  | Appendix No. 1 | Environmental Project Brief  (should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimsing adverse effects on the environmental) |  |  |  |
|  | Appendix No. 2 | Statement of the sum the applicant intends to expend on prospecting operations. |  |  |  |
|  | Appendix No. 3 | Proposed programme of prospecting operations. |  |  |  |
|  | Appendix No. 4 | Tax clearance certificate issued under Income Tax Act, Cap. 323). |  |  |  |
| **(e) Small-Scale Mining Licence** | | | | |  |
|  | Appendix No. 1 | Copy of relevant prospecting permit and prospecting report. |  |  |  |
|  | Appendix No. 2 | Proposal Programme of mining operations  (should include forecast of capital investment, the estimated recovery rate of ore, and mineral products, and the proposed treatment and disposal of ore and mineral recovered). |  |  |  |
|  | Appendix No. 3 | Description of the mineral deposit in area over which the licence is sought. |  |  |  |
|  | Appendix No. 4 | Statement of duration for which licence is sought (should not exceed ten years). |  |  |  |
|  | Appendix No. 5 | Tax clearance certificate issued under Income Tax Act (Cap. 323). |  |  |  |
|  | Appendix No. 6 | Environmental Management Plan  (Should include proposals for prevention of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment. |  |  |  |
|  | Appendix No. 7 | Any further information which the Director may require. |  |  |  |
| **(f) Small-Scale Gemstone Licence** | | | | |  |
|  | Appendix No. 1 | Proposed programme of prospecting and mining operations (should include forecast of investment and estimated recovery rate of ore and gemstone). |  |  |  |
|  | Appendix No. 2 | Statement of gemstone deposits in area over which lience is sought. |  |  |  |
|  | Appendix No. 3 | Tax clearance certificate issued under Income Tax Act (Cap. 323). |  |  |  |
|  | Appendix No. 4 | Environmental Commitment Plan. |  |  |  |
|  | Appendix No. 5 | Any further information which Director may require. |  |  |  |
| **(g) Artisan’s Mining right** | | | | |  |
|  | Appendix No. 1 | Environmental Project Brief.  (Should include proposals for preventions of pollution, treatment of waste, protection and reclamation of land and water resources and for eliminating or minimising adverse effects on the environment) |  |  |  |
|  | Appendix No. 2 | Programme of intended mining operations  (should include proposals for the proper conservation and use of mineral resources in mining area, in the national interest) |  |  |  |

Application fee

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form XXXIX (Regulation 34 (1))

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

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| **APPLICATION FOR CONSENT TO TRANSFER A MINING RIGHT OR MINERAL PROCESSING LICENCE** | | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | | Licence code | | | | |  | | | | | |
| Date and Time | | | | |  | | | | | |
|  | *Information Required* | *Information Provided* | | | | | | | | | | | | | | | | | | / |
| 1 | Mining Right or Licence No. |  | | | | | | | | | | | | | | | | | |  |
| 2 | Current holder |  | | | | | | | | | | | | | | | | | |  |
| 3 | Name of proposed assignee |  | | | | | | | | | | | | | | | | | |  |
| 4 | 1. Names(s) of applicant(s) 2. Type of applicant |  | | | | | | | | | | | | | | | | | |  |
| Individual | | |  | Company | | |  | | Co-operative | | |  | Partnership | | |  |  |
| 5 | 1. Date of Birth (dd/mm/yyyy) 2. Nationality 3. Identity card (Nationl Registration Card No. or Passport No. – Attach copies) |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |  |  |  |  |
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| 6 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | | |  |
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| 7 | (a) Company Registration No. (attach copies of registration certificate issued under the Companies Act, Cap. 388) |  | | | | | | | | | | | | | | | | | |  |
| Signature of Applicant (individual or authorised company representative) | | | | | | | | | | | | | | | | | | | | |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | (b) Current shareholders (Attach copies of identity cards and companies Form 2 issued under the Companies Act, Cap. 388) | | Shareholder Shares held Nationality NRC/Passport No. | | | | | |  | |
| ....................................................................................................................................  ....................................................................................................................................  ....................................................................................................................................  .................................................................................................................................... | | | | | |
| (c) Directors | | Directror Shares held Nationality NRC/Passport No. | | | | | |  | |
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| (d) Compamy Chief Executive  Officer | | Name |  | Nationality | |  | |  | |
| (e) Company Secretary | | Name |  | Nationality | |  | |  | |
| **8. Appendices** | | | | | | | | | | |
|  | Appendix No. 1 | Minutes of company board meeting | | | |  | |  | |  |
|  | Appendix No. 2 | Resolution of the company’s board meeting | | | |  | |  | |  |
|  | Appendix No. 3 | Reasons for transferring or assigning. | | | |  | |  | |  |
|  | Appendix No. 4 | Application form for a mining right completed by proposed assignee. | | | |  | |  | |  |
| Application fee | | | | | | | | | | |

Applicant Date

Officer Date

FOR OFFICIAL USE ONLY

Received by:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ STAMP Officer

Amount Received

Serial No. of application:

Form XL (Regulation 36)

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

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| **APPLICATION FOR ABANDONMENT CERTIFICATE** | | | | | | | | | | | | | | | | | | | | |
|  | | Shaded fields for official use only | | | | | | | | Licence code | | | |  | | | | | | |
| Date and Time | | | |  | | | | | | |
| *Information Required* | | *Information Provided* | | | | | | | | | | | | | | | | | | / |
| 1 | Mining Right or Licence No. |  | | | | | | | | | | | | | | | | | |  |
| 2 | Minerals |  | | | | | | | | | | | | | | | | | |  |
| 3 | 1. Proposed abandonment date 2. Names(s) of applicant(s) 3. Type of applicant |  | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | |
| Individual | | |  | Company | | |  | Co-operative | | |  | | Partnership | | |  |  |
| 4 | 1. Date of Birth (dd/mm/yyyy) 2. Nationality 3. Identity card (Nationl Registration Card No. or Passport No. |  |  |  |  |  |  |  |  |  |  |  |  | |  |  |  |  |  |  |
|  | | | | | | | | | | | | | | | | | |
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| 5 | Applicant’s Address Tel:  Fax:  E-mail |  | | | | | | | | | | | | | | | | | |  |
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|  | | | | | | | | | | | | | | | | | |
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| 6 | (a) Company Registration No. attach copies of registration certificate issued under the Companies Act, Cap. 388) |  | | | | | | | | | | | | | | | | | |  |
|  | (b) Shareholders  .  .  .  . | Shareholders Shares held Nationality NRC/Passport No. | | | | | | | | | | | | | | | | | |  |
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| (c) Directors | Directror S  .................................  .................................  .................................  ................................. | | | | hares held N  ..........................  ..........................  ..........................  .......................... | | | ationality  ....................................  ....................................  ....................................  .................................... | | | | | | NRC/Passport No.  .....................................  .....................................  .....................................  ..................................... | | | | |  |
| 1. Compamy Chief Executive Officer 2. Company Secretary | Name | |  | | | | | Nationality | | | |  | | | | | | |  |
| Name | |  | | | | | Nationality | | | |
| 7 | Area applied for abandonment (all coordinates in exact multiples of 6 seconds, latitude/longtitude format. Degree-Minute-Second format, arc 1950) | | | | | | | | | | | | | | | | | | | |
| (a) Location | | Province | | |  | | | | | | District | | | |  | | | |  |
| Locality | | |  | | | | | |  | | | |  | | | |  |
| (b) Original size (in Sq. km) | |  | | | | | | | | | | | | | | | | |  |
| (c) Proposed abandonment area (in sq km) | |  | | | | | | | | | | | | | | | | |  |
| (d) Proposed date of abandonment | |  | | | | | | | | | | | | | | | | |  |
| (c) Coordinates | | Latitude (S) | | | | | | | | Longtitude(E) | | | | | | | | |  |
| Corner Corner Corner Corner | | D | | D | M | M | S | | S | D | | D | M | | | M | S | S |  |
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| 9 | |  |  |  |  | |  |  | |  |  | | |  |  |  |
| 8 | **Appendices** | | | | | | | | | | | | | | | | | | |  |
| (a) Photocopy of identity document such as Zambian ID or passport. | | | | | | | | | Appendix No. 1 | | | | | |  | | | |  |
| (b) Report on execution of programme of operations. | | | | | | | | | Appendix No. 2 | | | | | |  | | | |  |
| (c) A copy of closure Certificate granted by Director Mines Safety | | | | | | | | | Appendix No. 3 | | | | | |  | | | |  |
| (d) The receipt of payment of the application fees | | | | | | | | | Appendix No. 4 | | | | | |  | | | |  |
| (e) Company registration details | | | | | | | | | Appendix No. 5 | | | | | |  | | | |  |
| Application fee | | | | | | | | | | | | | | | | | | | | |

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|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  | | | |  | | |  | | | | | |  | | | |  |
| (c) Directors | Directror S  .................................  .................................  .................................  ................................. | | | | hares held N  ..........................  ..........................  ..........................  .......................... | | | ationality  .....................................  .....................................  .....................................  ..................................... | | | | | | NRC/Passport No.  ....................................  ....................................  ....................................  .................................... | | | |  |
| 1. Compamy Chief Executive Officer 2. Company Secretary | Name | |  | | | | | Nationality | | | | |  | | | | |  |
| Name | |  | | | | | Nationality | | | | |
| 7 | Area applied for abandonment (all coordinates in exact multiples of 6 seconds, latitude/longtitude format. Degree-Minute-Second format, arc 1950) | | | | | | | | | | | | | | | | | | |
| (a) Location | | Province | | |  | | | | | | District | | |  | | | |  |
| Locality | | |  | | | | | |  | | |  | | | |  |
| (b) Original size (in Sq. km) | |  | | | | | | | | | | | | | | | |  |
| (c) Proposed abandonment area (in sq km) | |  | | | | | | | | | | | | | | | |  |
| (d) Proposed date of abandonment | |  | | | | | | | | | | | | | | | |  |
| (c) Coordinates | | Latitude (S) | | | | | | | | Longtitude(E) | | | | | | | |  |
| Corner Corner Corner Corner | | D | | D | M | M | S | | S | D | | D | M | | M | S | S |  |
| 1 | |  |  |  |  | |  |  | |  |  | |  |  |  |  |
| 2 | |  |  |  |  | |  |  | |  |  | |  |  |  |
| 3 | |  |  |  |  | |  |  | |  |  | |  |  |  |
| 4 | |  |  |  |  | |  |  | |  |  | |  |  |  |
| Corner Corner Corner Corner Corner | | 5 | |  |  |  |  | |  |  | |  |  | |  |  |  |  |
| 6 | |  |  |  |  | |  |  | |  |  | |  |  |  |
| 7 | |  |  |  |  | |  |  | |  |  | |  |  |  |
| 8 | |  |  |  |  | |  |  | |  |  | |  |  |  |
| 9 | |  |  |  |  | |  |  | |  |  | |  |  |  |
| 8 | **Appendices** | | | | | | | | | | | | | | | | | |  |
| (a) Photocopy of identity document such as Zambian ID or passport. | | | | | | | | | Appendix No. 1 | | | | |  | | | |  |
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| (d) The receipt of payment of the application fees | | | | | | | | | Appendix No. 4 | | | | |  | | | |  |
| (e) Company registration details | | | | | | | | | Appendix No. 5 | | | | |  | | | |  |
| Application fee | | | | | | | | | | | | | | | | | | | |

Signature …………………………………………… Date………………………………………….

Applicant

Form XLI (Regulation 37)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

CERTIFICATE No…………

**CERTIFICATE OF ABANDONMENT**

(*Section 101 of the Mines and Mineral Development Act, No. 7 of 2008)*

Holder’s name………………………………………………………………………………................................................................................

Address……………………………………………………………………………………...................................................................................

The abandoned area shall be the area described in the Schedule annexed hereto and bordered ………………....................................………..on the Plan.

The area abandoned was in respect of the following minerals……………………………...........................

The abandonment certificate take effect commencing on the ………………..day of…………………………and I hereby impose the attached conditions of abandonment.

Issued at………………………………..this ………………….................………..day of……………...................

………....................…………………… Director

**ENDORSEMENT OF REGISTRATION**

This Certificate of Abandonment has this…………..............................………..day of…………………........................................................

..............................................................been registered in the Register.

…........................................……………………..

Director

Form XLII (Regulation 38)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008**

**NOTICE OF APPEAL TO THE MINISTER**

IN THE MATTER OF……………………………………………………………………..................................

*(Application reference and matter of appeal)*

I hereby give notice of appeal against the decision of the Director/Director of Mines Safety/Director of Geological Survey due to the following reasons:

(a)…………………………………………………………………………………………

(b)…………………………………………………………………………………………

(c) …………………………………………………………………………………………

(d)………………………………………………………………………………………….

Dated this……………………..day of…………………………………….20………….

……………………………………..

Signature of Appellant

Form XLIII (Regulation 43)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2008 (Act No. 7 of 2008**

**The Mines and Minerals Development (General) Regulations, 2008 PEGGING CERTIFICATE**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Side | Distance (Units…..) | Angles of Direction  (deg, min, sec) | | CO-ORDINATES SYSTEM:................................................. | | | |
| Point | Eastings/ Latitude | Northings/ Longitude | Diagram No. |
|  |  |  | |  |  |  | Approved By: Director/  Director of Geological Survey  Sign…………………  Date………………… |
| SCALE……………………................. | | | | | | | |
| FIGURE ……………….. REPRESENTS………………………..HECTARES OF LAND SITUATED IN  ………………………………………..DISTRICT OF…………………...................………… PROVINCE OF ZAMBIA | | | | | | | |
| SURVEYED BY……………………….......………DATE………………………………..SIGN………………………….. | | | | | | | |
| CHECKED BY…………………………......……. DATE………………………………..SIGN…………………………… | | | | | | | |
| LICENCE NO………………………..... | | | BEACONS DESCRIPTION…………………………..................................... | | | | |

**SIXTH SCHEDULE**

(Regulations 31 (3) and 39)

**PRESCRIBED FEES, AREA CHARGES AND MAXIMUM AREAS FEES**

|  |  |  |
| --- | --- | --- |
| TYPE OF MINING RIGHT OR APPLICATION | APPLICATION FEE IN FEE  UNITS | LICENCE FEE IN FEE UNITS |
| Prospecting Licences | 2000 | 10, 000 |
| Large-Scale Mining Licence | 2000 | 10, 000 |
| Large-Scale Gemstone Licence | 2000 | 10, 000 |
| Prospecting Permit | 500 | 2000 |
| Small-Scale Mining Licence | 500 | 2000 |
| Small-Scale Gemstone Licence | 500 | 2000 |
| Artisan’s Mining Licence | 250 | 500 |
| Transfer of Prospecting, Large-Scale Mining and Large-Scale Gemstone Licence | 2000 | 10, 000 |
| Transfer of Small-Scale Mining, Prospecting Permit or Small-Scale Gemstone Licences | 500 | 2000 |
| Alteration of Prospecting, Large-Scale Mining and Large-Scale Gemstone Licences | 500 | 2, 500 |
| Alteration of Small-Scale Mining, Prospecting Permit or Small Scale Gemstone Licence | 200 | 750 |
| Alteration of Artisan’s Mining Right | 100 | 200 |

NON-MINING RIGHTS/OTHER CATEGORIES

|  |  |  |
| --- | --- | --- |
| LICENCE, CERTIFICATE OR PERMIT | APPLICATION FEE IN FEE UNITS | CERTIFICATE, LICENCE OR PERMIT FEE IN FEE UNITS |
| Mineral Prospecting Licence | 2000 | 10, 000 |
| Transfer of Mineral Processing Licence | 2000 | 10, 000 |
| Alternation of Mineral Processing Licence | 500 | 2, 500 |
| Annual Operating Permit (Large-Scale) | 500 | 5, 000 |
| Annual Operating Permit (Small-Scale) | 250 | 1, 500 |
| Gemstone Sales Certificate | 250 | 1, 000 |
| Mineral Import Permit | 500 | 3, 000 |
| Mineral Export Permit | 250 | 500 |
| Geological or Mining Consultancy Permit | 500 | 2, 500 |
| Mineral Analysis Laboratory Permit | 500 | 2, 500 |
| Mineral Analysis Certificate | 100 | 500 |
| Valuation Certificate | 250 | 1000 |
| Replacement of licence, permit or certificate | - | 750 |
| Tonnage fee for building materials | - | 5 |

**AREA CHARGES**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **GRZ Fee Units** | **Year 1 -2** | **Year 3 - 4** | **Year 5 - 6** | **Year 7 and later years** |
| Prospecting License (per square km per year) | 56 | 112 | 224 | 448 |
| Large-Scale Mining Licence (per square km per year) | 200 | 200 | 200 | 200 |
| Large-scale Gemstone Licence (per hectare per year) | 56 | 100 | 150 | 200 |
| Prospecting permit (per hectare per year) | 10 | 15 | 20 | - |
| Small –scale Mining Licensee (per hectare per year) | 10 | 10 | 10 | 10 |
| Small-scale Gemstone Licence-exempt in Year 1 (per hectare per year) | 100 | 100 | 150 | 200 |
| Artisan’s mining right (per licence area) | 10 | 10 | — | — |

**PRESCRIBED MAXIMUM AREAS**

|  |  |  |
| --- | --- | --- |
| **TYPES OF MINING RIGHT OR LICENCE** | **MAXIMUM AREA** | |
|  | Square Kilometers | Cadastre Units |
| Prospecting Licence | 1000 | 29, 940 |
| Large Scale Mining Licence or Large Scale Gemstones Licence | 250 | 7, 485 |
| Prospecting Permit | 10 | 300 |
| Small scale Mining Licence | 4 | 120 |
| Small scale Gemstone Licence | 4 | 120 |
| Artisan’s Mining right | 0.0668 | 2 |

LUSAKA

# 18th August, 2008

K. T. MWANSA, *Minister of Mines and Minerals Development*